

## $3 \times 3$ idempotent matrices over some domains and a conjecture on nil-clean matrices

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**Abstract** A characterization of the  $3 \times 3$  idempotent matrices over some integral domains is given, in terms of determinant, trace and rank. The conjecture: every nil-clean  $3 \times 3$  integral matrix is exchange, is revisited. Several new cases are proved.

**Keywords** exchange · nil-clean · clean ·  $3 \times 3$  integral matrix · similarity · diagonal reduction

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### 1 Introduction

Expressing the idempotency of a  $3 \times 3$  matrix amounts to a quadratic system of 9 equations with 9 unknowns, which is clearly hard to handle. As examples in this note show, Cayley-Hamilton's theorem, which for a  $3 \times 3$  matrix  $A$  is

$$A^3 - \operatorname{Tr}(A)A^2 + \frac{1}{2}(\operatorname{Tr}^2(A) - \operatorname{Tr}(A^2))A - \det(A)I_3 = 0_3,$$

does not characterize the idempotents. Therefore a characterization in terms of trace, determinant and rank could be useful.

We did not find any reference for a characterization of the  $3 \times 3$  idempotent matrices, not over  $\mathbb{Z}$ , nor over more general conditions on the base ring. In this paper we complete this gap over some special integral (commutative) domains.

We say that a ring  $R$  is an *ID* ring (see [4]) if every idempotent matrix over  $R$  is similar to a diagonal one. Examples of *ID* rings include: division rings, local rings, projective-free rings, PID's, elementary divisor rings, unit-regular rings and serial rings.

Recall (see [1]) that, since a matrix over an integral domain may be viewed over the corresponding field of fractions, the definition and properties of the rank are the usual ones, well-known from Linear Algebra.

Since diagonal idempotent matrices over domains have only 0 or 1 on the diagonal, and idempotency is invariant to conjugations (similarity, as for square matrices), it follows that a necessary condition for a matrix  $E$  (over an ID domain) to be idempotent is  $\text{rank}(E) = \text{Tr}(E)$ , that is, the rank equals the trace. Actually, this is the motive for considering in the sequel only matrices over ID domains.

An integral domain is a *GCD* domain if every pair  $a, b$  of nonzero elements has a greatest common divisor, denoted by  $\text{gcd}(a, b)$ . GCD domains include unique factorization domains, Bezout domains and valuation domains.

In Section 2, our main result is the characterization of the idempotent  $3 \times 3$  matrices over ID, GCD (commutative) domains (e.g.  $\mathbb{Z}$ ). With this new tool in hand, in Section 3 and 4 we revisit a conjecture made in [2]: Every nil-clean  $3 \times 3$  integral matrix is exchange.

## 2 The characterization

First recall the *Sylvester's rank inequality*: if  $F$  is a field and  $A, B \in \mathbb{M}_n(F)$  then  $\text{rank}(A) + \text{rank}(B) - n \leq \text{rank}(AB)$ .

As already mentioned, if  $R$  is an integral domain with quotient field  $F$  and  $A \in \mathbb{M}_n(R)$ ,  $\text{rank}_R(A) = \text{rank}_F(A)$  is the largest integer  $t$  such that  $A$  contains a  $t \times t$  submatrix whose determinant is nonzero. Equivalently, this is the maximum number of linearly independent rows (or columns) of  $A$ . Therefore Sylvester's rank inequality holds for matrices over integral domains.

So is the *subadditivity* of the rank, that is,  $\text{rank}(A + B) \leq \text{rank}(A) + \text{rank}(B)$ .

Next we mention a predictable.

**Lemma 2.1** *Let  $R$  be a GCD (commutative) domain and let  $C_1, C_2$  be two  $3 \times 1$  nonzero columns. If  $C_1, C_2$  are linearly dependent over  $R$  there exists a column  $C$  and elements  $a_1, a_2 \in R$  such that  $C_i = a_i C$ ,  $i \in \{1, 2\}$ .*

*Proof.* Denote  $C_i = \begin{bmatrix} c_{i1} \\ c_{i2} \\ c_{i3} \end{bmatrix}$ ,  $i \in \{1, 2\}$  and assume  $b_1 C_1 = b_2 C_2$  for some

$0 \neq b_i \in R$ ,  $i \in \{1, 2\}$ . Without loss of generality, suppose  $c_{11} \neq 0$  and so  $c_{21} \neq 0$ . Let  $d_1 = \text{gcd}(c_{11}; c_{21})$  and  $c_{11} = l_1 d_1$ ,  $c_{21} = l_2 d_1$  with  $\text{gcd}(l_1; l_2) = 1$ .

Since  $l_1, l_2$  are coprime, from  $b_1 l_1 = b_2 l_2$ ,  $l_1$  divides  $b_2$  and  $l_2$  divides  $b_1$ , say  $b_1 = l_2 \alpha$ ,  $b_2 = l_1 \beta$ . From  $b_1 l_1 = b_2 l_2$  it follows that  $\alpha = \beta$ . Further, since  $b_1 c_{12} = b_2 c_{22}$ , we obtain  $l_2 c_{12} = l_1 c_{22}$ . Again, since  $l_1, l_2$  are coprime,  $l_1$  divides  $c_{12}$  and  $l_2$  divides  $c_{22}$ , which we can write (say),  $c_{12} = l_1 d_2$  and  $c_{22} = l_2 d_2$ . Similarly, since  $b_1 c_{13} = b_2 c_{23}$  we show that  $l_1$  divides  $c_{13}$  and  $l_2$

divides  $c_{23}$ , which we can write  $c_{13} = l_1 d_3$  and  $c_{23} = l_2 d_3$  for some  $d_3 \in R$ .

Finally, if  $C = \begin{bmatrix} d_1 \\ d_2 \\ d_3 \end{bmatrix}$  then indeed,  $C_i = l_i C$ , as desired.

□

An analogous procedure, takes care of the case with three columns.

Recall that for any  $n \times n$  matrix  $A$ , up to sign, the first three coefficients of the characteristic polynomial are  $1$ ,  $\text{Tr}(A)$ ,  $\frac{1}{2}(\text{Tr}^2(A) - \text{Tr}(A^2))$  and the last is  $\det(A)$ . The third coefficient equals the sum of the diagonal  $2 \times 2$  minors of  $A$ , and for  $n = 3$  this is  $\begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} + \begin{vmatrix} a_{11} & a_{13} \\ a_{31} & a_{33} \end{vmatrix} + \begin{vmatrix} a_{22} & a_{23} \\ a_{32} & a_{33} \end{vmatrix} = a_{11}a_{22} + a_{11}a_{33} + a_{22}a_{33} - a_{12}a_{21} - a_{13}a_{31} - a_{23}a_{32}$ . To simplify the writing, this coefficient will be denoted by  $t$  or even  $t_A$ , if we need to emphasize the matrix  $A$ .

Now we can prove our main result.

**Theorem 2.2** *A  $3 \times 3$  matrix  $E$  over an ID, GCD domain  $R$  is nontrivial idempotent if and only if  $\det(E) = 0$ ,  $\text{rank}(E) = \text{Tr}(E) = 1 + \frac{1}{2}(\text{Tr}^2(E) - \text{Tr}(E^2))$  and  $\text{rank}(E) + \text{rank}(I_3 - E) = 3$ .*

*Proof.* Suppose  $E = [e_{ij}]$ ,  $1 \leq i, j \leq 3$ . Then  $t := t_E = e_{11}e_{22} + e_{11}e_{33} + e_{22}e_{33} - e_{12}e_{21} - e_{13}e_{31} - e_{23}e_{32}$ .

By Cayley-Hamilton's theorem, we can write

$$E^3 - \text{Tr}(E)E^2 + tE - \det E \cdot I_3 = 0_3.$$

To show *the conditions are necessary*, suppose  $E = E^2$ . Then  $\det(E)^2 = \det(E) \in \{0, 1\}$  and by replacement we get

$$(1 - \text{Tr}(E) + t)E = \det E \cdot I_3.$$

We go into two cases.

If  $1 - \text{Tr}(E) + t \neq 0$ , then  $E$  is a scalar matrix and we can show that  $E \in \{0_3, I_3\}$ . Indeed, either  $\det(E) = 0$  and then  $E = 0_3$ , or else,  $\det(E) = 1$  and if  $E = aI_3$ , the equality  $E = E^2$  gives  $a = a^2$  and since  $\det E = 1$ ,  $a = 1$  and  $E = I_3$  follow.

In the remaining case,  $1 - \text{Tr}(E) + t = 0$  and so  $\det(E) = 0$ , i.e. all nontrivial idempotents satisfy these two (necessary) conditions.

As for the third condition, we use the Sylvester's rank inequality  $\text{rank}(E) + \text{rank}(I_3 - E) - 3 \leq \text{rank}(E(I_3 - E)) = 0$ , for  $\text{rank}(E) + \text{rank}(I_3 - E) \leq 3$  and the subadditivity  $\text{rank}(E + I_3 - E) = \text{rank}(I_3) = 3 \leq \text{rank}(E) + \text{rank}(I_3 - E)$ , for the opposite inequality.

Next, we show *the conditions are sufficient*. Since  $\det(E) = 0$ ,  $\text{rank}(E) \leq 2$ . Further,  $\text{Tr}(E) = 1 + t$  shows that  $E \neq 0_3$ , so  $\text{rank}(E) \in \{1, 2\}$ .

*In the first case*, notice that if  $\text{rank}(E) = 1$  then  $t = 0$  and so  $\text{Tr}(E) = 1$  follows from  $\text{Tr}(E) = 1 + t$ .

In this case, by Cayley-Hamilton's theorem, we have  $E^3 = E^2$  which generally does not imply  $E^2 = E$  (see example 4 below).

However, if  $\text{rank}(E) = \text{Tr}(E) = 1$ , it does.

A  $3 \times 3$  matrix  $A$  has rank 1 if and only if any two (say) columns are linearly dependent. As shown in the previous lemma, the columns are multiples of a common column. Simplifying the writing, we can suppose  $E$  has one of the three following forms:  $[C, sC, vC]$ ,  $[0, C, sC]$ ,  $[0, 0, C]$  where  $s$  and  $v$  are elements of  $R$  and  $C$  is a column with at least one nonzero entry. If

$C = \begin{bmatrix} a_1 \\ a_2 \\ a_3 \end{bmatrix}$  and we fulfill the condition  $\text{Tr}(E) = 1$ , it follows that  $E$  is in one

of the following three forms:

$$E_1 = \begin{bmatrix} 1 - sa_2 - va_3 & s(1 - sa_2 - va_3) & v(1 - sa_2 - va_3) \\ a_2 & sa_2 & va_2 \\ a_3 & sa_3 & va_3 \end{bmatrix},$$

$$E_2 = \begin{bmatrix} 0 & a_1 & sa_1 \\ 0 & 1 - sa_3 & s(1 - sa_3) \\ 0 & a_3 & sa_3 \end{bmatrix}, \quad E_3 = \begin{bmatrix} 0 & 0 & a \\ 0 & 0 & b \\ 0 & 0 & 1 \end{bmatrix}.$$

It can be checked that all these (rank 1) matrices are indeed, idempotent.

Notice that in this case, we do not use  $\text{rank}(E) + \text{rank}(I_3 - E) = 3$ .

In the second case,  $\text{rank}(E) = \text{Tr}(E) = 2$  and  $\text{Tr}(E) = 1 + t_E$  yields  $t_E = 1$ .

Observe that in this case  $\text{Tr}(I_3 - E) = 3 - 2 = 1$  and  $t_{I_3 - E} = t_E + 3 - 2\text{Tr}(E) = 0$ .

Since  $\text{rank}(E) = 2$  implies  $\text{rank}(I_3 - E) = 1$  by the additional hypothesis, this case reduces to the first one. This is because, if  $I_3 - E$  is idempotent, so is  $E$  (its complementary idempotent).

In this case, by Cayley-Hamilton's theorem, we have  $E(E - I_3)^2 = 0_3$  which generally does not imply  $E^2 = E$  (see example 5 below).

□

By  $E_{ij}$  we denote the  $3 \times 3$  matrix with all entries zero excepting the  $(i, j)$  entry which is 1.

**Examples.** 1)  $E_{11} + E_{23} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}$  has trace 1 but rank 2 so it is *not*

idempotent: the square is  $E_{11}$ .

2)  $2E_{11} + E_{23} = \begin{bmatrix} 2 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}$  has both trace and rank 2, but  $t = 0$  so it is *not*

idempotent: the square is  $4E_{11}$ .

3)  $E = E_{11} + E_{22} + E_{23} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{bmatrix}$  has both trace and rank 2 and also

$t = 1$ . Moreover,  $I_3 - E = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 0 & 1 \end{bmatrix}$  so  $\text{rank}(E) + \text{rank}(I_3 - E) = 2 + 1 = 3$ .

It is (indeed) idempotent.

4) Take  $A = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{bmatrix}$ . Then  $A^3 = A^2 = E_{11} + E_{33} \neq A$  (i.e.  $A$  is not idempotent) but  $\text{Tr}(A) = 2 = \text{rank}(A)$ ,  $t = 1$  but  $\text{rank}(A) = \text{rank}(I_3 - A) = 2$ .

5) The matrix  $C = \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$  has both trace and rank 2 and also  $t = 1$ .

It verifies  $C(C - I_3)^2 = 0_3$  but it is *not* idempotent. Again,  $\text{rank}(C) = \text{rank}(I_3 - C) = 2$ .

Actually, all matrices of type  $C = \begin{bmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 0 \end{bmatrix}$  satisfy  $\text{rank}(C) = \text{Tr}(C) = 2$

and  $t = 1$  but  $C^2 = \begin{bmatrix} 1 & 2a & b + ac \\ 0 & 1 & c \\ 0 & 0 & 0 \end{bmatrix} \neq C$  for many choices of  $a, b, c$ .

6) Observe that if  $\text{char}(R) = 2$ , there are idempotents  $E \neq 0_3$  with  $\det(E) = \text{Tr}(E) = 0$ . An example is  $E = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}$  with  $E^2 = \begin{bmatrix} 2 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 2 \end{bmatrix}$ ,  $\det E = \text{Tr}(E) = 0$  and  $\text{Tr}(E^2) = 2 = 0$ .

### 3 A conjecture revisited

In [2], we can find the following

*Conjecture 3.1* Every nil-clean  $3 \times 3$  integral matrix is exchange.

When writing the paper, this characterization of  $3 \times 3$  idempotents was not known to the authors.

The characterization allows a different approach in order *to prove* this conjecture. Indeed, idempotents appear twice in this conjecture: in the definition of nil-clean matrices, i.e. these are sums of idempotents and nilpotents, and in the characterization of exchange elements, i.e. in a ring  $R$ ,  $a \in R$  is exchange if and only if there exists  $m \in R$  (called *exchanger* in [2]) such that  $a + m(a - a^2)$  is idempotent.

Since

**Proposition 3.2** *Let  $R$  be any ring,  $a \in R$ , and suppose that  $a = e + t$  where  $e^2 = e$  and  $t^2 = 0$ . Then  $a$  is exchange in  $R$ .*

in the remaining nonzero case, we will assume the nilpotent, in the nil-clean decomposition of the matrix  $A$ , has index 3, i.e.  $A = E + T$  with  $E^2 = E$  and  $T^2 \neq 0_3 = T^3$ . As for  $E$  we can suppose it is *nontrivial* idempotent: indeed, nilpotents and unipotents are clean and so exchange.

Recall that every nilpotent matrix over a field is similar to a block diagonal matrix  $\begin{bmatrix} B_1 & 0 & \cdots & 0 \\ 0 & B_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & B_k \end{bmatrix}$ , where each block  $B_i$  is a shift matrix (possibly

of different sizes). Actually, this form is a special case of the Jordan canonical form for matrices. A *shift* matrix has 1's along the superdiagonal and

0's everywhere else, i.e.  $S = \begin{bmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \\ 0 & 0 & 0 & \cdots & 0 \end{bmatrix}$ , as  $n \times n$  matrix.

The following result is proved in [3]:

**Theorem 3.3** *The following are equivalent for a ring  $R$ :*

- (i) *Every nilpotent matrix over  $R$  is similar to a block diagonal matrix with each block a shift matrix (possibly of different sizes).*
- (ii)  *$R$  is a division ring.*

In the sequel, we prove the conjecture for all nil-clean matrices whose nilpotent (of index 3) is similar to the  $3 \times 3$  shift.

This is a special case (over  $\mathbb{Z}$ ), because over any commutative domain  $D$ , there are plenty of nilpotent nonzero matrices which are not similar to the corresponding shift. For example,  $\begin{bmatrix} 0 & 2 \\ 0 & 0 \end{bmatrix}$  is a nonzero nilpotent of  $M_2(\mathbb{Z})$  which is not similar to  $E_{12}$ , the nonzero  $2 \times 2$  shift.

However, it can be proved that

**Proposition 3.4** *Every nonzero nilpotent  $2 \times 2$  matrix over a commutative GCD domain  $R$  is similar to  $rE_{12}$ , for some  $r \in R$ .*

*Proof.* We are looking for an invertible matrix  $U = (u_{ij})$  such that  $TU = U(rE_{12})$  with  $T = \begin{bmatrix} x & y \\ z & -x \end{bmatrix}$  and  $x^2 + yz = 0$ .

Let  $d = \gcd(x; y)$  and denote  $x = dx_1$ ,  $y = dy_1$  with  $\gcd(x_1; y_1) = 1$ . Then  $d^2x_1^2 = -dy_1z$  and since  $\gcd(x_1; y_1) = 1$  implies  $\gcd(x_1^2; y_1) = 1$ , it follows  $y_1$  divides  $d$ . Set  $d = y_1y_2$  and so  $T = \begin{bmatrix} x_1y_1y_2 & y_1^2y_2 \\ -x_1^2y_2 & -x_1y_1y_2 \end{bmatrix} = y_2 \begin{bmatrix} x_1y_1 & y_1^2 \\ -x_1^2 & -x_1y_1 \end{bmatrix} = y_2T'$ .

Since  $\gcd(x_1; y_1) = 1$  there exist  $s, t \in R$  such that  $sx_1 + ty_1 = 1$ . Take  $U = \begin{bmatrix} y_1 & s \\ -x_1 & t \end{bmatrix}$  which is invertible (indeed,  $U^{-1} = \begin{bmatrix} t & -s \\ x_1 & y_1 \end{bmatrix}$ ). One can check  $T'U = \begin{bmatrix} 0 & y_1 \\ 0 & -x_1 \end{bmatrix} = UE_{12}$ , so  $r = y_2$ . □

The  $3 \times 3$  analogue is

**Proposition 3.5** *Every index 3 nilpotent  $3 \times 3$  matrix over a GCD domain  $R$  is similar to  $rE_{12} + uE_{23}$ , for some  $r, u \in R$ .*

Notice that the possible nonzero  $3 \times 3$  block diagonal matrices with each block a shift matrix are  $S = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}$  and  $S' = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$ , where  $S'$  has index two and only  $S$  has index three ( $S^2 = E_{13} \neq 0_3$ ).

Here is what we prove

**Theorem 3.6** *The nil-clean  $3 \times 3$  integral matrices whose nilpotent (of index 3) is similar to the shift  $S$ , are exchange.*

*Proof.* For  $A = E + S$  we have to find an exchanger  $M$  such that  $A + M(A - A^2)$  is an idempotent. As observed in the previous section, it suffices to consider  $E$  any (nontrivial) trace = rank = 1,  $3 \times 3$  idempotent matrix. Also noticed in the previous section, it suffices to find exchangers for  $E$ , any of the following matrices:  $[0, 0, C]$ ,  $[0, C, sC]$ ,  $[C, sC, vC]$  where  $s$  and  $v$  are some integers and  $C$  is a column with at least one nonzero entry.

There are three cases to discuss.

**Case 1.** The idempotent is of form  $[0, 0, C]$ , that is,  $E = \begin{bmatrix} 0 & 0 & a \\ 0 & 0 & b \\ 0 & 0 & 1 \end{bmatrix}$  and

$$A = \begin{bmatrix} 0 & 1 & a \\ 0 & 0 & 1+b \\ 0 & 0 & 1 \end{bmatrix}. \text{ Here } ES = 0_3, SE = \begin{bmatrix} 0 & 0 & b \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}, A^2 = E + SE + E_{13} = \begin{bmatrix} 0 & 0 & a+b+1 \\ 0 & 0 & b+1 \\ 0 & 0 & 1 \end{bmatrix}, A - A^2 = \begin{bmatrix} 0 & 1 & -1-b \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}. \text{ Denoting } M = [m_{ij}], 1 \leq i, j \leq 3$$

we get  $A + M(A - A^2) = \begin{bmatrix} 0 & 1+m_{11} & a - (1+b)m_{11} \\ 0 & m_{21} & (1+b)(1-m_{21}) \\ 0 & m_{31} & 1 - (1+b)m_{31} \end{bmatrix}$ . We choose  $m_{21} =$

$m_{31} = 0$  in order to have trace = 1, and  $m_{11} = -1$  in order to vanish the second column. Since the second and third columns of  $M$  play no rôle, we choose

$$\text{these zero. Hence for } M = \begin{bmatrix} -1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, A + M(A - A^2) = \begin{bmatrix} 0 & 0 & a+b+1 \\ 0 & 0 & b+1 \\ 0 & 0 & 1 \end{bmatrix}$$

which is indeed idempotent of the same type as  $E$ .

**Case 2.** Take  $E = [0, C, sC] = \begin{bmatrix} 0 & a_1 & sa_1 \\ 0 & 1 - sa_3 & s(1 - sa_3) \\ 0 & a_3 & sa_3 \end{bmatrix}$ . Now  $A - A^2 =$

$$(E + S) - (E + S)^2 = S - E_{13} - ES - SE = \begin{bmatrix} 0 & sa_3 & -1 - a_1 - s(1 - sa_3) \\ 0 & -a_3 & 0 \\ 0 & 0 & -a_3 \end{bmatrix}$$

and, denoting  $b := -1 - a_1 - s(1 - sa_3)$  we obtain

$$M(A - A^2) = \begin{bmatrix} 0 & (m_{11}s - m_{12})a_3 & m_{11}b - m_{13}a_3 \\ 0 & (m_{21}s - m_{22})a_3 & m_{21}b - m_{23}a_3 \\ 0 & (m_{31}s - m_{32})a_3 & m_{31}b - m_{33}a_3 \end{bmatrix}.$$

Here  $\text{Tr}(M(A - A^2)) = (m_{21}s - m_{22} - m_{33})a_3 + m_{31}b$ . An exchanger must be found for arbitrary  $a_1, a_3$  and  $s$ . For any choice such that  $a_3$  and  $b$  are *not* coprime, there are no  $m_{ij}$ 's such that  $\text{Tr}(M(A - A^2)) = 1$  (e.g.,  $a_1 = -3, a_3 = 2, s = 0$  and so  $b = 2$ ).

Hence the  $m_{ij}$ 's must be chosen to give  $\text{Tr}(M(A - A^2)) = 0$  for arbitrary  $a_1, a_3$  and  $s$ . Hence

$$m_{21}s = m_{22} + m_{33} \text{ and } m_{31} = 0.$$

Moreover, since then  $\text{Tr}(A + M(A - A^2)) = 1$  we also need  $\text{rank}(A + M(A - A^2)) = 1$ .

Here  $A + M(A - A^2) =$

$$\begin{bmatrix} 0 & 1 + a_1 + (m_{11}s - m_{12})a_3 & sa_1 + m_{11}b - m_{13}a_3 \\ 0 & 1 + (m_{33} - s)a_3 & 1 + s(1 - sa_3) + m_{21}b - m_{23}a_3 \\ 0 & (1 - m_{32})a_3 & (s - m_{33})a_3 \end{bmatrix}$$

has trace 1. For rank 1, we need dependent columns (or rows).

We will choose the other entries in the third row of  $M$ , in order to have zero 3-rd row in  $A + M(A - A^2)$ , that is  $m_{32} = 1$  and  $m_{33} = s$ .

Then  $m_{22} = (m_{21} - 1)s$  and  $A + M(A - A^2) =$

$$\begin{bmatrix} 0 & 1 + a_1 + (m_{11}s - m_{12})a_3 & sa_1 + m_{11}b - m_{13}a_3 \\ 0 & 1 & 1 + s(1 - sa_3) + m_{21}b - m_{23}a_3 \\ 0 & 0 & 0 \end{bmatrix}$$

and we have to choose  $m_{11}, m_{12}, m_{13}, m_{21}$  and  $m_{23}$  in order to get the rank 1, that is,

$$\det \begin{bmatrix} 1 + a_1 + (m_{11}s - m_{12})a_3 & sa_1 + m_{11}b - m_{13}a_3 \\ 1 & 1 + s(1 - sa_3) + m_{21}b - m_{23}a_3 \end{bmatrix} = 0.$$

Equivalently,  $sa_1 + m_{11}b - m_{13}a_3 = [1 + a_1 + (m_{11}s - m_{12})a_3][1 + s(1 - sa_3) + m_{21}b - m_{23}a_3]$ .

Further we choose

$$m_{21} = 1 \text{ and } m_{11} = a_1$$

(and so  $m_{22} = 0$ ). The equality reduces to  $sa_1 - a_1[1 + a_1 + s(1 - sa_3)] - m_{13}a_3 = [1 + a_1 + (a_1s - m_{12})a_3](-a_1 - m_{23}a_3)$  and, by taking

$$m_{23} = 0$$

to (dividing by  $a_3$ )  $m_{13} = s^2a_1 + sa_1^2 - m_{12}a_1$  with infinitely many possible choices for  $m_{12}$ . For

$$m_{12} = 0$$

we get  $m_{13} = sa_1(s + a_1)$ .

$$\text{Hence finally } M = \begin{bmatrix} a_1 & 0 & sa_1(s + a_1) \\ 1 & 0 & 0 \\ 0 & 1 & s \end{bmatrix} \text{ and}$$

$$A + M(A - A^2) = \begin{bmatrix} 0 & 1 + a_1 + sa_1a_3 - a_1(1 + a_1 + sa_1a_3) \\ 0 & 1 & -a_1 \\ 0 & 0 & 0 \end{bmatrix}. \text{ The condi-}$$

tions in Theorem 2.2 can be easily checked:  $\text{rank} = \text{trace} = 1$ ,  $t = 0$  and

$$\text{rank} \left( \begin{bmatrix} -\mathbf{1} & 1 + a_1 + sa_1a_3 - a_1(1 + a_1 + sa_1a_3) \\ 0 & 0 & -a_1 \\ \mathbf{0} & 0 & -\mathbf{1} \end{bmatrix} \right) = 2.$$

One can verify directly that  $\begin{bmatrix} 0 & c - a_1c \\ 0 & 1 - a_1 \\ 0 & 0 & 0 \end{bmatrix}^2 = \begin{bmatrix} 0 & c - a_1c \\ 0 & 1 - a_1 \\ 0 & 0 & 0 \end{bmatrix}$ , so matrices of

this form are indeed idempotent, *of the same type as E*.

**Case 3.** Take  $E = [C, sC, vC] =$

$$= \begin{bmatrix} 1 - sa_2 - va_3 & s(1 - sa_2 - va_3) & v(1 - sa_2 - va_3) \\ a_2 & sa_2 & va_2 \\ a_3 & sa_3 & va_3 \end{bmatrix} \text{ and so}$$

$$A = E + S = \begin{bmatrix} 1 - sa_2 - va_3 & 1 + s(1 - sa_2 - va_3) & v(1 - sa_2 - va_3) \\ a_2 & sa_2 & 1 + va_2 \\ a_3 & sa_3 & va_3 \end{bmatrix}. \text{ As}$$

above  $A - A^2 = S - E_{13} - ES - SE =$

$$\begin{bmatrix} -a_2 & va_3 & -1 - s(1 - sa_2 - va_3) - va_2 \\ -a_3 & -a_2 - sa_3 & 1 - sa_2 - va_3 \\ 0 & -a_3 & -sa_3 \end{bmatrix} \text{ and denoting } b = 1 - sa_2 - va_3,$$

$$= \begin{bmatrix} -a_2 & va_3 & -1 - sb - va_2 \\ -a_3 & -a_2 - sa_3 & b \\ 0 & -a_3 & -sa_3 \end{bmatrix}.$$

Finally the columns of  $A + M(A - A^2)$  are

$$\begin{bmatrix} 1 - sa_2 - va_3 - m_{11}a_2 - m_{12}a_3 \\ a_2 - m_{21}a_2 - m_{22}a_3 \\ a_3 - m_{31}a_2 - m_{32}a_3 \end{bmatrix},$$

$$\begin{bmatrix} \mathbf{1} + s(1 - sa_2 - va_3) + m_{11}va_3 - m_{12}(a_2 + sa_3) - m_{13}a_3 \\ sa_2 + m_{21}va_3 - m_{22}(a_2 + sa_3) - m_{23}a_3 \\ sa_3 + m_{31}va_3 - m_{32}(a_2 + sa_3) - m_{33}a_3 \end{bmatrix} \text{ and}$$

$$\begin{bmatrix} v(1 - sa_2 - va_3) - m_{11}(1 + sb + va_2) + m_{12}b - m_{13}sa_3 \\ \mathbf{1} + va_2 - m_{21}(1 + sb + va_2) + m_{22}b - m_{23}sa_3 \\ va_3 - m_{31}(1 + sb + va_2) + m_{32}b - m_{33}sa_3 \end{bmatrix}.$$

Using computer aid, we chose  $M = \begin{bmatrix} \cdot & \cdot & \cdot \\ 1 & 0 & v \\ 0 & 1 & 0 \end{bmatrix}$ .

Replacing we get  $A + M(A - A^2) = \begin{bmatrix} 0 & sa_2 & -s(1 - sa_2) \\ 0 & -a_2 & 1 - sa_2 \end{bmatrix}$  with (so far)

the same first row.

Moreover with  $m_{11} = -s$ ,  $m_{12} = -v$  we obtain  $A + M(A - A^2) = \begin{bmatrix} 1 & 1 + s + (v - s^2)a_2 - (sv + m_{13})a_3 & s[1 + s + (v - s^2)a_2 - (sv + m_{13})a_3] \\ 0 & sa_2 & -s(1 - sa_2) \\ 0 & -a_2 & 1 - sa_2 \end{bmatrix}$ .

Finally  $m_{13}$  is arbitrary since matrices of type  $\begin{bmatrix} 1 & \alpha & s\alpha \\ 0 & sa_2 & -s(1 - sa_2) \\ 0 & -a_2 & 1 - sa_2 \end{bmatrix}$  are idempotent for any  $\alpha$ . Indeed  $\text{Tr}(A + M(A - A^2)) = \text{rank}(A + M(A - A^2)) = 2$ ,  $t = 1$  and  $\text{Tr}(I_3 - A - M(A - A^2)) = \text{rank}(I_3 - A - M(A - A^2)) = 1$ .

Therefore (choosing  $m_{13} = 0$ ) the exchanger in this case is  $M = \begin{bmatrix} -s & -v & 0 \\ 1 & 0 & v \\ 0 & 1 & 0 \end{bmatrix}$ .

□

**Example.** For  $A = \begin{bmatrix} -13 & -25 & -39 \\ 1 & 2 & 4 \\ 4 & 8 & 12 \end{bmatrix}$  and  $M = \begin{bmatrix} -2 & -3 & -3 \\ 1 & 0 & 3 \\ 0 & 1 & 0 \end{bmatrix}$  we have  $M(A - A^2) = \begin{bmatrix} 14 & 15 & 19 \\ -1 & 0 & -2 \\ -4 & -9 & -13 \end{bmatrix}$ ,  $A + M(A - A^2) = \begin{bmatrix} 1 & -10 & 20 \\ 0 & 2 & 2 \\ 0 & -1 & -1 \end{bmatrix}$  (here  $a_2 = 1$ ,  $a_3 = 4$ ,  $s = 2$ ,  $v = 3$ ;  $b = -13$ ).

As already noticed in the previous section, any  $3 \times 3$  index 3 nilpotent is similar to a generalized shift  $S_g = rE_{12} + uE_{23}$ .

In trying to prove the (whole) conjecture, one has to replace the shift  $S$  by  $S_g$ .

We were able to do this in the first case of the previous proof, and made some progress with the second and third case.

**Proposition 3.7** *The nil-clean  $3 \times 3$  integral matrices with idempotent of form  $[0, 0, C]$  are exchange.*

*Proof.* The proof goes along the lines of the (previous) special case  $r = v =$

1. Take  $A = E + S_g$  with  $E = \begin{bmatrix} 0 & 0 & a \\ 0 & 0 & b \\ 0 & 0 & 1 \end{bmatrix}$  and  $S_g = \begin{bmatrix} 0 & r & 0 \\ 0 & 0 & u \\ 0 & 0 & 0 \end{bmatrix}$  ( $S_g^2 = ruE_{13}$ ).

Then  $ES_g = 0_3$ ,  $S_g E = \begin{bmatrix} 0 & 0 & rb \\ 0 & 0 & u \\ 0 & 0 & 0 \end{bmatrix}$ ,  $A - A^2 = \begin{bmatrix} 0 & r - r(u + b) \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$ . Denoting

$M = [m_{ij}]$ ,  $1 \leq i, j \leq 3$  we get

$A + M(A - A^2) = \begin{bmatrix} 0 & r + rm_{11} & a - r(u + b)m_{11} \\ 0 & rm_{21} & b + s - r(u + b)m_{21} \\ 0 & rm_{31} & 1 - r(u + b)m_{31} \end{bmatrix}$ . We choose  $m_{21} = m_{31} = 0$  in order to have trace = 1, and  $m_{11} = -1$  in order to vanish the second column. Since the second and third columns of  $M$  play no rôle,

we choose these zero. Hence for  $M = \begin{bmatrix} -1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$  (the same exchanger),

$A + M(A - A^2) = \begin{bmatrix} 0 & 0 & a + r(b + u) \\ 0 & 0 & b + u \\ 0 & 0 & 1 \end{bmatrix}$  which is an idempotent of the same type as  $E$ . □

#### 4 The other cases

The second (general) case.  $E = [0, C, sC] = \begin{bmatrix} 0 & a_1 & sa_1 \\ 0 & 1 - sa_3 & s(1 - sa_3) \\ 0 & a_3 & sa_3 \end{bmatrix}$  and

$A = E + S_g$ , again going along the lines of the previous proof, the following can be done.

For  $S_g = \begin{bmatrix} 0 & r & 0 \\ 0 & 0 & u \\ 0 & 0 & 0 \end{bmatrix}$ ,  $S_g^2 = ruE_{13}$ ,  $A = \begin{bmatrix} 0 & r + a_1 & sa_1 \\ 0 & 1 - sa_3 & u + s(1 - sa_3) \\ 0 & a_3 & sa_3 \end{bmatrix}$ ,  $ES_g = \begin{bmatrix} 0 & 0 & ua_1 \\ 0 & 0 & u(1 - sa_3) \\ 0 & 0 & ua_3 \end{bmatrix}$  and  $S_g E = \begin{bmatrix} 0 & r(1 - sa_3) & rs(1 - sa_3) \\ 0 & ua_3 & usa_3 \\ 0 & 0 & 0 \end{bmatrix}$ .

So  $A - A^2 = S_g - ruE_{13} - ES_g - S_g E = \begin{bmatrix} 0 & rsa_3 - ua_1 - rs(1 - sa_3) - ru \\ 0 & -ua_3 & 0 \\ 0 & 0 & -ua_3 \end{bmatrix}$ . Denoting  $M = [m_{ij}]$ ,  $1 \leq i, j \leq 3$

and  $b = -ua_1 - rs(1 - sa_3) - ru$  we get

$M(A - A^2) = \begin{bmatrix} 0 & (m_{11}rs - m_{12}u)a_3 & m_{11}b - m_{13}ua_3 \\ 0 & (m_{21}rs - m_{22}u)a_3 & m_{21}b - m_{23}ua_3 \\ 0 & (m_{31}rs - m_{32}u)a_3 & m_{31}b - m_{33}ua_3 \end{bmatrix}$  and  $A + M(A - A^2) =$

$\begin{bmatrix} 0 & r + a_1 + (m_{11}rs - m_{12}u)a_3 & sa_1 + m_{11}b - m_{13}ua_3 \\ 0 & 1 - sa_3 + (m_{21}rs - m_{22}u)a_3 & u + s(1 - sa_3) + m_{21}b - m_{23}ua_3 \\ 0 & a_3 + (m_{31}rs - m_{32}u)a_3 & sa_3 + m_{31}b - m_{33}ua_3 \end{bmatrix}$ .

Here  $\text{Tr}(M(A - A^2)) = (m_{21}rs - m_{22}u - m_{33}u)a_3 + m_{31}b$ . An exchanger must be found for arbitrary  $a_1, a_3$  and  $s$ . For any choice such that  $a_3$  and

$b$  are *not* coprime, there are no  $m_{ij}$ 's such that  $\text{Tr}(M(A - A^2)) = 1$  (e.g.,  $a_1 = -3$ ,  $a_3 = 2$  and  $s = 0$ :  $b = 2$ ).

Hence the  $m_{ij}$ 's must be chosen to give  $\text{Tr}(M(A - A^2)) = 0$  for arbitrary  $a_1$ ,  $a_3$  and  $s$ . Hence

$$m_{21}rs = (m_{22} + m_{33})v \text{ and } m_{31} = 0.$$

Moreover, since then  $\text{Tr}(A + M(A - A^2)) = 1$  we also need  $\text{rank}(A + M(A - A^2)) = 1$ .

Here  $A + M(A - A^2) =$

$$\begin{bmatrix} 0 & r + a_1 + (m_{11}rs - m_{12}u)a_3 & sa_1 + m_{11}b - m_{13}ua_3 \\ 0 & 1 + (m_{33}u - s)a_3 & u + s(1 - sa_3) + m_{21}b - m_{23}ua_3 \\ 0 & (1 - m_{32}u)a_3 & (s - m_{33}u)a_3 \end{bmatrix}$$

has trace 1. For rank 1, we need dependent columns (or rows).

This reduces to

$$\det \begin{bmatrix} r + a_1 + (m_{11}rs - m_{12}u)a_3 & sa_1 + m_{11}b - m_{13}ua_3 \\ (1 - m_{32}u)a_3 & (s - m_{33}u)a_3 \end{bmatrix} =$$

$$\det \begin{bmatrix} 1 + (m_{33}u - s)a_3 & u + s(1 - sa_3) + m_{21}b - m_{23}ua_3 \\ (1 - m_{32}u)a_3 & (s - m_{33}u)a_3 \end{bmatrix} = 0, \text{ that is}$$

$[r + a_1 + (m_{11}rs - m_{12}u)a_3](s - m_{33}u) = [sa_1 + m_{11}b - m_{13}ua_3](1 - m_{32}u)$   
and

$[1 + (m_{33}u - s)a_3](s - m_{33}u) = [u + s(1 - sa_3) + m_{21}b - m_{23}ua_3](1 - m_{32}u)$   
[both equalities divided by  $a_3$ ].

Notice that, as in the special  $r = u = 1$  case, the vanishing of the third row of  $A + M(A - A^2)$  cannot be done, unless  $u = 1$ .

We were not able to determine the entries of a suitable exchanger.

By computer aid, the third row of  $M$ ,  $[0, m_{32}, m_{33}]$  could be  $[0, 1, 1]$  or  $[0, 1, s]$  or  $[0, 1, 0]$  or some others. In each case, computation yields a complementary condition on  $a_1$ ,  $a_3$ ,  $r$ ,  $u$  and  $s$ .

Trying to find a *counterexample for the conjecture*, with  $E = [0, C, sC]$  and  $S_g = rE_{12} + uE_{23}$ , we have successively gathered the following *non-conditions*:

$u \neq 1$ ,  $u$  not dividing  $s$ ,  $a_3$  not dividing  $u$ ,  $a_3$  not dividing  $rs$ ,  $a_3$  not dividing  $u + s - 2$ ,  $s + u \neq a_3$  and  $a_3$  not dividing  $ua_1 - 1$ .

The selection  $a_1 = 2$ ,  $a_3 = 7$ ,  $s = 3$ ,  $r = 2$  and  $u = 5$  satisfies all these. The resulting  $3 \times 3$  matrix is  $A = \begin{bmatrix} 0 & 4 & 6 \\ 0 & -20 & -55 \\ 0 & 7 & 21 \end{bmatrix}$  which still is exchange: among

the exchangers we find  $\begin{bmatrix} -1 & x & y \\ 0 & 0 & -2 \\ 0 & 1 & 0 \end{bmatrix}$  with  $[x, y] \in \{-2, -2\}, [2, -5], [-6, 1]\}$ .

Next attempt:  $m_{32} = 1$ ,  $m_{33} = 0 = m_{21}$ .

The second equation:  $(1 - sa_3)s = [v + s(1 - sa_3) + m_{21}b - m_{23}ua_3](1 - v)$   
or  $v + m_{21}b - m_{23}ua_3 = u[u + s(1 - sa_3) + m_{21}b - m_{23}ua_3]$

If  $m_{21} = 0$  (as in example),  $1 - m_{23}a_3 = u + s(1 - sa_3) - m_{23}ua_3$  (divided by  $u$ ). Or  $(1 - u)(1 - m_{23}a_3) = s(1 - sa_3)$  so now  $1 - u$  divides  $s(1 - sa_3)$ .

Here  $a_1 = 2$ ,  $a_3 = 7$ ,  $s = 3$ ,  $r = 2$  and  $u = 5$ : indeed 4 divides 20.

So we add *another non-condition*:  $1 - u$  not dividing  $s(1 - sa_3)$ :  $u = 10$ .

$$\text{So } A = \begin{bmatrix} 0 & 4 & 6 \\ 0 & -20 & -50 \\ 0 & 7 & 21 \end{bmatrix}.$$

Nothing until  $z = 6$  (inclusive), but for  $z = 7$  we found  $M = \begin{bmatrix} 6 & 3 & 6 \\ 0 & 3 & 4 \\ 7 & 2 & 5 \end{bmatrix}$ ,

$$\text{but also } M = \begin{bmatrix} 7 & x & y \\ -5 & -5 & -7 \\ -7 & 1 & -6 \end{bmatrix} \quad [x, y] \in \{[-5, 5], [-2, -6], [1, 7]\}.$$

We did not continue our attempts in this case.

*The third case.* The computation goes along the lines of the  $r = u = 1$

$$\text{case. } A = E + S_g = \begin{bmatrix} 1 - sa_2 - va_3 + s(1 - sa_2 - va_3)v(1 - sa_2 - va_3) & & \\ a_2 & sa_2 & u + va_2 \\ a_3 & sa_3 & va_3 \end{bmatrix},$$

$$ES_g = \begin{bmatrix} 0 & r(1 - sa_2 - va_3) & us(1 - sa_2 - va_3) \\ 0 & ra_2 & usa_2 \\ 0 & ra_3 & usa_3 \end{bmatrix},$$

$$S_g E = \begin{bmatrix} ra_2 & rsa_2 & rva_2 \\ ua_3 & usa_3 & uva_3 \\ 0 & 0 & 0 \end{bmatrix} \text{ and } A - A^2 = S_g - ruE_{13} - ES_g - S_g E =$$

$$\begin{bmatrix} -ra_2 & rva_3 & -us(1 - sa_2 - va_3) - rva_2 - ru \\ -ua_3 & -ra_2 - usa_3 & u(1 - sa_2 - va_3) \\ 0 & -ra_3 & -usa_3 \end{bmatrix}.$$

Denoting  $b = u(1 - sa_2 - va_3)$  we have

$$A - A^2 = \begin{bmatrix} -ra_2 & rva_3 & -sb - rva_2 - ru \\ -ua_3 & -ra_2 - usa_3 & b \\ 0 & -ra_3 & -usa_3 \end{bmatrix}. \text{ Denoting } M = [m_{ij}],$$

$1 \leq i, j \leq 3$  the columns

of  $A + M(A - A^2)$  are

$$\begin{bmatrix} 1 - sa_2 - va_3 - m_{11}ra_2 - m_{12}ua_3 \\ a_2 - m_{21}ra_2 - m_{22}ua_3 \\ a_3 - m_{31}ra_2 - m_{32}ua_3 \end{bmatrix},$$

$$\begin{bmatrix} r + s(1 - sa_2 - va_3) + m_{11}rva_3 - m_{12}(ra_2 + usa_3) - m_{13}ra_3 \\ sa_2 + m_{21}rva_3 - m_{22}(ra_2 + usa_3) - m_{23}ra_3 \\ sa_3 + m_{31}rva_3 - m_{32}(ra_2 + usa_3) - m_{33}ra_3 \end{bmatrix},$$

$$\text{and } \begin{bmatrix} v(1 - sa_2 - va_3) - m_{11}(sb + rva_2 + ru) + m_{12}b - m_{13}usa_3 \\ u + va_2 - m_{21}(sb + rva_2 + ru) + m_{22}b - m_{23}usa_3 \\ va_3 - m_{31}(sb + rva_2 + ru) + m_{32}b - m_{33}usa_3 \end{bmatrix}.$$

Continuation with  $M = \begin{bmatrix} \cdot & \cdot & \cdot \\ 1 & 0 & v \\ 0 & 1 & 0 \end{bmatrix}$  is not very bad but *seems not likely* [unlikely to get rank=trace =2]:  $A + M(A - A^2) =$

$$\begin{bmatrix} (1-r)a_2 & sa_2 & (-s+r-1)u + [s^2u + (1-r)v]a_2 \\ (1-u)a_3 - ra_2 + (1-u)sa_3 & u(1-sa_2) + (1-u)va_3 & \end{bmatrix}.$$

For  $r = u = 1$  this was already  $A + M(A - A^2) = \begin{bmatrix} \cdot & \cdot & \cdot \\ 0 & sa_2 & -s(1-sa_2) \\ 0 & -a_2 & 1-sa_2 \end{bmatrix}.$

We did not continue our attempts in this case.

In trying to find a counterexample for the conjecture, we made the following selection:

**Example.**  $A = E + S_g =$

$$\begin{bmatrix} -13 & -26 & -39 \\ 1 & 2 & 3 \\ 4 & 8 & 12 \end{bmatrix} + \begin{bmatrix} 0 & 5 & 0 \\ 0 & 0 & 6 \\ 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} -13 & -21 & -39 \\ 1 & 2 & 9 \\ 4 & 8 & 12 \end{bmatrix} \text{ no exchanger until (incl.)}$$

$z = 11$ . Here  $a_2 = 1, a_3 = 4, s = 2, v = 3, r = 5, u = 6$  and  $b = u(1 - sa_2 - va_3) = -78$ . Now  $A - A^2 =$

$$\begin{bmatrix} -ra_2 & rva_3 & -sb - rva_2 - ru \\ -ua_3 - ra_2 - usa_3 & b & \\ 0 & -ra_3 & -usa_3 \end{bmatrix} = \begin{bmatrix} -5 & 60 & 111 \\ -24 & -53 & -78 \\ 0 & -20 & -48 \end{bmatrix}.$$

Denoting  $M = [m_{ij}]$ ,  $1 \leq i, j \leq 3$  we get  $M(A - A^2) =$

$$\begin{bmatrix} -5m_{11} - 24m_{12} & 60m_{11} - 53m_{12} - 20m_{13} & 111m_{11} - 78m_{12}b - 48m_{13} \\ -5m_{21} - 24m_{22} & 60m_{21} - 53m_{22} - 20m_{23} & 111m_{21} - 78m_{22}b - 48m_{23} \\ -5m_{31} - 24m_{32} & 60m_{31} - 53m_{32} - 20m_{33} & 111m_{31} - 78m_{32}b - 48m_{33} \end{bmatrix}$$

and the columns of  $D := A + M(A - A^2)$  are

$$\begin{bmatrix} -13 - 5m_{11} - 24m_{12} \\ 1 - 5m_{21} - 24m_{22} \\ 4 - 5m_{31} - 24m_{32} \end{bmatrix}, \begin{bmatrix} -21 + 60m_{11} - 53m_{12} - 20m_{13} \\ 2 + 60m_{21} - 53m_{22} - 20m_{23} \\ 8 + 60m_{31} - 53m_{32} - 20m_{33} \end{bmatrix} \text{ and}$$

$$\begin{bmatrix} -39 + 111m_{11} - 78m_{12}b - 48m_{13} \\ 9 + 111m_{21} - 78m_{22}b - 48m_{23} \\ 12 + 111m_{31} - 78m_{32}b - 48m_{33} \end{bmatrix}.$$

The trace is

$$\text{Tr}(D) = 1 + \text{Tr}(M(A - A^2)) = 1 - 5m_{11} - 24m_{12} + 60m_{21} - 53m_{22} - 20m_{23} + 111m_{31} - 78m_{32}b - 48m_{33}.$$

$$\text{Tr}(I_3 - D) = 2 - \text{Tr}(M(A - A^2)) = 2 - (-5m_{11} - 24m_{12} + 60m_{21} - 53m_{22} - 20m_{23} + 111m_{31} - 78m_{32}b - 48m_{33}).$$

How to prove this cannot be idempotent ?

In [2], the nil-clean matrices discussed had (by similarity) the idempotent  $E_{11}$  or  $E_{11} + E_{22}$ .

Since  $\text{Tr}(E) = \text{rank}(E) = 1$ ,  $E$  is similar to  $E_{11}$ . We look for a conjugation.  $EU = UE_{11}$  amounts to

$$\begin{bmatrix} -13(u_{11}+2u_{21}+3u_{31}) & -13(u_{12}+2u_{22}+3u_{32}) & -13(u_{13}+2u_{23}+3u_{33}) \\ u_{11} + 2u_{21} + 3u_{31} & u_{12} + 2u_{22} + 3u_{32} & u_{13} + 2u_{23} + 3u_{33} \\ 4(u_{11} + 2u_{21} + 3u_{31}) & 4(u_{12} + 2u_{22} + 3u_{32}) & 4(u_{13} + 2u_{23} + 3u_{33}) \end{bmatrix}$$

$$= \begin{bmatrix} u_{11} & 0 & 0 \\ u_{21} & 0 & 0 \\ u_{31} & 0 & 0 \end{bmatrix} \text{ with } \det(U) = \pm 1. \text{ Hence}$$

$$-13(u_{11} + 2u_{21} + 3u_{31}) = u_{11} \text{ or } 14u_{11} + 26u_{21} + 39u_{31} = 0$$

$$u_{11} + 2u_{21} + 3u_{31} = u_{21} \text{ or } u_{11} + u_{21} + 3u_{31} = 0$$

$$4(u_{11} + 2u_{21} + 3u_{31}) = u_{31} \text{ or } 4u_{11} + 8u_{21} + 11u_{31} = 0$$

and

$$u_{12} + 2u_{22} + 3u_{32} = u_{13} + 2u_{23} + 3u_{33} = 0.$$

The first 3 equations form a homogeneous linear system with zero determinant, so we can choose only

$$u_{11} + u_{21} + 3u_{31} = 0 \text{ (multiplied by } -4 \text{ and added to the next)}$$

$$4u_{11} + 8u_{21} + 11u_{31} = 0 \text{ or}$$

$$4u_{21} = u_{31} \text{ and } u_{11} = -13u_{21}.$$

An example is  $U = \begin{bmatrix} -13 & 2 & -1 \\ 1 & -1 & -1 \\ 4 & 0 & 1 \end{bmatrix}$  for which  $U^{-1}E = \begin{bmatrix} 1 & 2 & 3 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$  and

$$U^{-1}EU = E_{11}.$$

Then the similar nil-clean matrix with  $E_{11}$  idempotent is  $A' = E_{11} +$

$$U^{-1}S_g U = E_{11} + \begin{bmatrix} 53 & -5 & 7 \\ 241 & -25 & 29 \\ -212 & 20 & -28 \end{bmatrix}.$$

Here  $S_g^2 = \begin{bmatrix} 120 & 0 & 30 \\ 600 & 0 & 150 \\ -480 & 0 & -120 \end{bmatrix}$  and (indeed)  $S_g^3 = 0_3$ .

However, for  $A' = \begin{bmatrix} 53 & -5 & 7 \\ 241 & -25 & 29 \\ -212 & 20 & -28 \end{bmatrix}$ , an exchanger was fast found for

$$z = 6: M = \begin{bmatrix} 1 & 0 & 0 \\ 5 & -1 & 6 \\ -4 & 0 & -1 \end{bmatrix}.$$

The idempotent is  $A' + M(A' - A'^2) = \begin{bmatrix} -119 & -5 & -23 \\ 2856 & 120 & 552 \\ 0 & 0 & 0 \end{bmatrix}.$

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