

## RETRACTION METHODS IN FIXED POINT THEORY

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**Abstract.** To obtain fixed point theorems for nonself-mappings there are two possibilities. One consists in using continuation methods of Leray-Schauder type. Roughly speaking, by means of a continuation theorem we can obtain a solution of a given equation starting from one of the solutions of a more simpler equations (see [21]). The other way makes use of the retraction mapping principle. This technique was presented by I.A. Rus in [29].

In this report we adopt the way of a retraction mapping principle. Our goal is to show that under suitable geometrical conditions, continuation theorems of Leray-Schauder type can be alternatively obtained by means of the retraction mapping principle. We shall consider only the boundary conditions of Leray-Schauder, Browder-Petryshyn and Cramer-Ray and we shall restrict ourselves to the case of Banach spaces and vector lattices.

**Keywords:** fixed point structures, Hilbert space, retractible mapping

**AMS Subject Classification:** 47H10, 54H25

### 1 Fixed point structures

Let  $X$  be a nonempty set and  $Y \in P(X)$ , where  $P(X)$  denote the set of all nonempty subset of  $X$ . We denote by  $M(X)$  the set of all mapping  $f : X \rightarrow X$ .

**Definition 1.1.** (see [28]) A triple  $(X, S, M)$  is a fixed point structure if

- (i)  $S \subset P(X)$  is a nonempty subset of  $P(X)$ ;
- (ii)  $M : P(X) \rightarrow \bigcup_{Y \in P(X)} M(Y)$ ,  $Y \subset M(Y)$  is a mapping such that, if  $Z \subset Y$

then

$$M(Z) \supset \{f|_Z : f \in M(Y) \text{ and } f(Z) \subset Z\};$$

- (iii) Every  $Y \in S$  has the fixed point property with respect to  $M(Y)$ .

**Example 1.1.** Let  $X$  is a nonempty set,  $S = \{\{x\} : x \in X\}$  and  $M(Y) = M(Y)$ .

**Example 1.2.** (Knaster, Tarski, Birkhoff)  $(X, \leq)$  is a complete lattice,  $S = \{Y \in P(X) : (Y, \leq) \text{ is a complete sublattice of } X\}$  and  $M(Y) = \{f : Y \rightarrow Y : f \text{ is order-preserving mapping}\}$ .

**Example 1.3.** (Banach, Caccioppoli)  $(X, d)$  is a complete metric space,  $S = P_d(X)$  and  $M(Y) = \{f : Y \rightarrow Y : f \text{ is a contraction}\}$ .

**Example 1.4.** (Nemytzki, Edelstein)  $(X, d)$  is a complete metric space,  $S = P_{cp}(X)$  and  $M(Y) = \{f : Y \rightarrow Y : f \text{ is a contractive mapping}\}$ .

**Example 1.5.** (Schauder)  $X$  is a Banach space,  $S = P_{cp,cv}(X)$  and  $M(Y) = C(Y, Y)$ .

**Example 1.6.** (Dotson)  $X$  is a Banach space,  $S = P_{cp,cl}(X)$  and  $M(Y) = \{f : Y \rightarrow Y : f \text{ is a nonexpansive mapping}\}$ .

**Example 1.7.** (Browder)  $X$  is a Hilber space,  $S = P_{b,cl,cv}(X)$  and  $M(Y) = \{f : Y \rightarrow Y : f \text{ is a nonexpansive mapping}\}$ .

**Example 1.8.** (Tychonov)  $X$  is a Banach space,  $S = P_{wcp,cv}(X)$  and  $M(Y) = \{f : Y \rightarrow Y : f \text{ is weakly continuous}\}$ .

**Example 1.9.** (Schauder)  $X$  is a Banach space,  $S = P_{b,cl,cv}(X)$  and  $M(Y) = \{f : Y \rightarrow Y : f \text{ is completely continuous}\}$ .

**Example 1.10.** (Tychonov)  $X$  is a locally convex space,  $S = P_{cp,cv}(X)$  and  $M(Y) = C(Y, Y)$ .

If more generally we let  $X$  be a Banach space,  $S = P_{cl,cv}(X)$  and  $M(Y) = \{f : Y \rightarrow Y : f \text{ is continuous and there is } x_0 \in Y \text{ such that for any } C \in P_b(Y) \text{ relation } \overline{C} \subset \overline{cv}\{x_0\}Yf(C)\} \text{ implies } \overline{C} \text{ compact}\}$ , then the triple  $(X, S, M)$  is a fixed point structure in a generalized sense, when (ii) does not hold (see [17]).

## 2 The retraction notion

Let  $X$  be a nonempty set and  $Y \subset X$  a nonempty subset of  $X$ .

**Definition 2.1.** ([9]) A mapping  $\rho : X \rightarrow Y$  is called a retraction of  $X$  onto  $Y$  if and only if  $\rho|_Y = 1_Y$ , i.e.  $\rho(x) = x$  for any  $x \in Y$ .

If  $X$  has a certain structure, the mapping  $\rho$  must be compatible with that structure. For example a retraction of a topological space will be assumed to be continuous.

### 2.1 An example of retraction in Hilbert spaces

In this paragraph we consider  $H$  be a Hilbert space and  $K \subset H$  a nonempty, convex and closed subset, i.e.  $K \in P_{cv,cl}(X)$ . We will show that  $P : H \rightarrow K$  the projection mapping of  $H$  onto  $K$ , is a retraction. At first we present some additional results.

**Theorem 2.2.1.** *Let  $K \subset H$  be a nonempty, convex and closed subset of  $H$ , and  $u \in H$ . Let*

$$d = \inf_{v \in K} \|u - v\| = d(u, K).$$

*Then there exists a unique element  $w \in K$  with  $d = \|u - w\| = d(u, K)$ .*

**Proof.** For any  $v \in K$ , we have  $\|u - v\| \geq 0$ , so for a given  $u \in H$ , the set of real numbers  $\{\|u - v\| : v \in K\}$  is lower bounded by zero. So  $d = \inf_{v \in K} \|u - v\| = d(u, K)$ .

Let  $(v_n)_{n \geq 1} \subset K$  be a sequences of points from  $H$  such that  $\|u - v_n\| \rightarrow d$ , as  $n \rightarrow \infty$ . Since  $K$  is convex and  $v_n, v_m \in K$  for any  $m, n \in N$ , we have  $\lambda v_m + (1 - \lambda)v_n \in K$  for all  $m, n \in N$  and  $0 \leq \lambda \leq 1$ . Put  $\lambda = \frac{1}{2}$ . Then  $\frac{v_n + v_m}{2} \in K$ , so

$$\left\| u - \frac{v_n + v_m}{2} \right\| \geq d. \text{ Recall the parallelogram's equality}$$

$$\|x + y\|^2 + \|x - y\|^2 = 2(\|x\|^2 + \|y\|^2) \text{ for all } x, y \in H.$$

We consider  $x = u - v_m$  and  $y = u - v_n$ . Hence

$$\|v_n - v_m\|^2 = 2(\|u - v_m\|^2 + \|u - v_n\|^2) - 4 \left\| u - \frac{v_m + v_n}{2} \right\|^2.$$

Then

$$\|v_n - v_m\|^2 \leq 2(\|u - v_m\|^2 + \|u - v_n\|^2) - 4d^2.$$

When  $m, n \rightarrow \infty$ , we obtain  $\|v_n - v_m\| \rightarrow 0$ . This implies that the sequence  $(v_n)_{n \geq 1} \subset K$  is fundamental, so it has a limit  $w$ . Since  $(v_n)_{n \geq 1} \subset K$  and  $K$  is closed, it follows that  $w = \lim_{n \rightarrow \infty} v_n \in K$ . Hence  $\|u - v_n\| \rightarrow \|u - w\| = d$  as  $n \rightarrow \infty$ .

In this way, we have shown that there exists  $w \in K$  such that

$$\|u - w\| = d = \inf_{v \in K} \|u - v\|.$$

For the uniqueness, we assume that there exists  $q \in K$ ,  $q \neq w$  such that  $\|u - w\| = d = \|u - q\|$ . Since  $K$  is convex, we have  $\frac{q+w}{2} \in K$ , hence

$$d = \inf_{v \in K} \|u - v\| \leq \left\| u - \frac{q+w}{2} \right\| = \left\| \frac{1}{2}(u-w) + \frac{1}{2}(u-q) \right\| \leq \frac{1}{2}\|u-w\| + \frac{1}{2}\|u-q\| = d$$

and

$$d = \left\| u - \frac{q+w}{2} \right\|.$$

From the parallelogram's equality, for  $x = u - w$  and  $y = u - q$  we obtain

$$\|w - q\|^2 = 2(\|u - w\|^2 + \|u - q\|^2) - 4 \left\| u - \frac{w+q}{2} \right\|^2 = 2(d^2 + d^2) - 4d^2 = 0.$$

So  $\|w - q\| = 0$ , which is equivalent to  $w = q$ .  $\square$

Now we formulate

**Definition 2.2.1.** Let  $H$  be a Hilbert space,  $K \subset H$  a nonempty, convex and closed subset of  $X$ . Let  $P : H \rightarrow K$  be the mapping giving by  $P(u) = w$ , where  $w \in K$  is such as

$$\|u - w\| = d = \inf_{v \in K} \|u - v\|.$$

The mapping  $P$  is called the metric projection of  $H$  onto  $K$ .

We have the following results (see [12]).

**Theorem 2.2.2.** Let  $H$  be a Hilbert space,  $K \subset H$  a nonempty, convex and closed subset of  $X$ . The following statements are equivalent:

- (i)  $w \in K$ ,  $\|u - w\| \leq \|u - v\|$  for every  $v \in K$ ;
- (ii)  $w \in K$ ,  $\operatorname{Re}(u - w, v - w) \leq 0$  for every  $v \in K$ ;
- (iii)  $w \in K$ ,  $\operatorname{Re}(u - v, w - v) \geq 0$  for every  $v \in K$ .

**Theorem 2.2.3.** Let  $H$  be a Hilbert space,  $K \subset H$  a nonempty, convex and closed subset of  $X$ . The metric projection of  $X$  onto  $K$  is a nonexpansive mapping, i.e.

$$\|P(u) - P(v)\| \leq \|u - v\|, \quad \forall u, v \in H.$$

A consequence of this theorem is the continuity of  $P$ . Indeed, for any  $u \in H$  and any sequence  $(u_n)_{n \geq 1} \subset H$  which is norm convergent at  $u$ , we have  $\|P(u) - P(u_n)\| \leq \|u - u_n\|$ . Since  $\|u_n - u\| \rightarrow 0$ , as  $n \rightarrow \infty$ , it follows that

$$\|P(u_n) - P(u)\| \rightarrow 0, \text{ as } n \rightarrow \infty,$$

i.e.  $P$  is continuous.

Thus we may conclude that the mapping given by Definition 3.2.1 is a topological retraction of  $H$  onto  $K$ .

**Remark 2.2.1.** For the uniqueness of the element  $w \in K$  satisfying  $d = \|u - w\| = d(u, K)$  the parallelogram's equality is an important tool. This is in connexion with the structure of Hilbert space. Thus, Definition 3.2.1 cannot be given for an arbitrary Banach space. However, if  $K$  is a nonempty, closed, convex set of an uniformly convex Banach space the metric projection  $P$  is univoque and continuous (see [23]).

**Definition 2.2.2.** Let  $X$  be a space with the norm  $\|\cdot\|$  and  $Y \subset X$  a closed subspace of  $X$ . A linear continuous mapping  $P : X \rightarrow Y$  is called projection mapping of  $X$  onto  $Y$  if it is a surjection and  $P(y) = y$  for any  $y \in Y$ .

**Definition 2.2.3.** A closed subspace  $Y$  of a Banach space  $X$  is called complementably if there exists a projection of  $X$  onto  $Y$ .

**Theorem 2.2.4.** (see [16]) *If any closed subspace of a Banach space  $X$  is complementably, then  $X$  is isomorph with a Hilbert space.*

**Examples.**

$c_0$  is not complementably in  $l^\infty$

$C[0, 1]$  is not complementably in  $L^\infty(0, 1)$ .

## 2.2 An example of retraction onto Banach spaces

Let  $X$  be a Banach space,  $U \subset X$  a nonempty, convex and closed subset of  $X$  and  $u_0 \in \text{int}U$ .

For every pair  $u, v \in X$ , the set  $[u, v] = \{w \in X : w = (1 - \lambda)u + \lambda v, \lambda \in [0, 1]\}$  is called the segment between  $u$  and  $v$ . For any  $u \in X$  we make the notation  $Z(u) = [u, u_0] \cap \partial U$ . Now, we define the mapping  $\varphi : X \rightarrow \mathbb{R}$  by

$$\varphi(u) = \begin{cases} \|u - u_0\| & \text{if } Z(u) = \emptyset \\ \max_{v \in Z(u)} \|v - u_0\| & \text{if } Z(u) \neq \emptyset \end{cases}$$

By means of this mapping we construct the operator  $\rho : X \rightarrow \bar{U}$ , where

$$(2.3.1) \quad \rho(u) = \frac{\varphi(u)}{\|u - u_0\|} u + \left(1 + \frac{\varphi(u)}{\|u - u_0\|}\right) u_0$$

This mapping is a retraction. Indeed, if  $u \in \text{int}U$  then  $Z(u) = \emptyset$ , so  $\varphi(u) = \|u - u_0\|$  and this implies  $\rho(u) = u$ . If  $u \in \partial U$  then  $\varphi(u) = \|u - u_0\|$  and again  $\rho(u) = u$ . Hence  $\rho(u) = u$  for any  $u \in \bar{U}$ . If  $u \notin \bar{U}$  then  $Z(u) \neq \emptyset$  and  $\varphi(u) < \|u - u_0\|$ .

So  $\frac{\varphi(u)}{\|u - u_0\|} \in (0, 1)$  and consequently  $\rho(u) \in [u, u_0]$ , i.e. the image of any point  $u \in X \setminus \bar{U}$  by  $\rho$  lies on the segment  $[u, u_0]$ .

Moreover, we have

$$\begin{aligned} \|\rho(u) - u_0\| &= \left\| \frac{\varphi(u)}{\|u - u_0\|} u + \left(1 - \frac{\varphi(u)}{\|u - u_0\|}\right) u_0 - u_0 \right\| = \\ &= \left\| \frac{\varphi(u)}{\|u - u_0\|} u + -\frac{\varphi(u)}{\|u - u_0\|} u_0 \right\| = \varphi(u). \end{aligned}$$

In conclusion, if  $u \in X \setminus \bar{U}$  then  $\rho(u)$  is the intersection point of the segment  $[u, u_0]$  with  $\partial U$ , which is the most nearly by  $u$ . So  $\rho$  is a continuous retraction.

If  $U = B(u_0, r) = \{u \in X : \|u - u_0\| < r\} \subset X$  the mapping  $\rho : X \rightarrow \bar{U}$  is giving by

$$\rho(u) = \begin{cases} u & \text{if } u \in \bar{U} \\ \frac{r}{\|u - u_0\|} u + \left(1 - \frac{r}{\|u - u_0\|}\right) u_0 & \text{if } u \notin \bar{U} \end{cases}$$

and it is call "the radial retraction".

### 2.3 An example of retraction onto ordered spaces

Let  $X$  be a real vectorial space.  $X$  is a vector lattice (ordered space) if  $X$  is lattice and

- i) for any  $z \in X$ ,  $x \leq y$  then  $x + z \leq y + z$
- ii) if  $x \geq 0$  and  $\lambda \geq 0$  then  $\lambda x \geq 0$ .

In any ordered space  $X$ , denote by

$$[x, y] = \{z \in X : x \leq z \leq y\}$$

the interval with respect to order (ordered interval).

The set  $X_+ = \{x \in X : x \geq 0\}$  is called the cone of positifs elements of vectorial lattice  $X$ .

For every  $x \in X$ , the element  $x_+ = x \vee 0$  is called the positive part of  $x$  and  $x_- = (-x) \vee 0 = (-x)_+$  the negative part. The element  $|x| = x_+ + x_-$  means the absolute value of  $x$ . For any  $x \in X$  we have  $x = x_+ - x_-$ .

Let  $v \in X_+$ . Demote with  $Y = [-v, v]$  and define the application  $\varphi : X \rightarrow Y$ ,

$$(2.4.1) \quad \varphi(u) = \begin{cases} u & \text{if } u \in Y \\ \sup\{[0, u_+] \cap [0, v]\} - \sup\{[0, u_-] \cap [0, v]\} & \text{if } u \notin Y \end{cases}$$

We make the notations

$$Y_+ = [0, v] \quad U_+ = [0, u_+] \quad \text{and} \quad U_- = [0, u_-]$$

The application  $\varphi$  is a retraction of  $X$  onto  $Y$  which is compatible with structure of space  $X$ , i.e. it is continuous and for every  $u_1, u_2 \in X$ ,  $u_1 \leq u_2$  we have  $\varphi(u_1) \leq \varphi(u_2)$ .

Indeed, let  $u_1, u_2 \in X$  with  $u_1 \leq u_2$ .

1. Suppose that  $-v \leq u_1 \leq u_2 \leq v$ , i.e.  $u_1, u_2 \in Y$ . Then  $\varphi(u_1) = u_1 \leq u_2 = \varphi(u_2)$ .

2. If  $u_1 \in Y$  and  $u_2 \notin Y$  the  $\varphi(u_1) = u_1$  and  $\varphi(u_2) = \sup\{U_{2+} \cap Y_+\} - \sup\{U_{2-} \cap Y_+\}$ .

From  $u_1 \leq u_2$  we have  $u_{1+} \leq u_{2+}$  and  $u_{2-} \leq u_{1-}$ . Since  $u_1 \in Y$  we obtain  $u_{1+} \leq v$  and  $u_{1-} \leq v$ . We have  $u_{1+} \leq u_{2+}$  and  $u_{1+} \leq v$ , hence

$$u_{1+} \leq \sup\{U_{2+} \cap Y_+\}.$$

From  $u_{2-} \leq u_{1-}$  and  $u_{1-} \leq v$  results

$$u_{1-} \geq \sup\{U_{2-} \cap Y_+\}.$$

Then

$$\varphi(u_1) = u_1 = u_{1+} - u_{1-} \leq \sup\{U_{2+} \cap Y_+\} - \sup\{U_{2-} \cap Y_+\} = \varphi(u_2).$$

If  $u_1 \notin Y$  and  $u_2 \in Y$  the proof is similiary.

3. If  $u_1 \notin Y$  and  $u_2 \notin Y$  then

$$\varphi(u_i) = \sup\{U_{i+} \cap Y_+\} - \sup\{U_{i-} \cap Y_+\}, \quad i = \overline{1, 2}.$$

Since  $u_1 \leq u_2$  we have  $u_{1+} \leq u_{2+}$  and  $u_{2-} \leq u_{1-}$ . Then  $U_{1+} \subset U_{2+}$  and  $U_{2-} \subset U_{1-}$ . Results

$$\sup\{U_{1+} \cap Y_+\} \leq \sup\{U_{2+} \cap Y_+\}$$

and

$$\sup\{U_{2-} \cap Y_+\} \leq \sup\{U_{1-} \cap Y_+\}.$$

Finally, we have

$$\begin{aligned} \varphi(u_1) &= \sup\{U_{1+} \cap Y_+\} - \sup\{U_{1-} \cap Y_+\} \leq \\ &\leq \sup\{U_{2+} \cap Y_+\} - \sup\{U_{2-} \cap Y_+\} = \varphi(u_2). \end{aligned}$$

In conclusion, for any  $u_1, u_2 \in X$  with  $u_1 \leq u_2$  we have  $\varphi(u_1) \leq \varphi(u_2)$ . In other words  $\varphi$  is increasing.

### 3 Boundary conditions

We recall Leray-Schauder boundary condition and show its equivalence to those of Browder-Petryshyn and Cramer-Ray when the domain is a ball. For all there definitions  $U$  is a subset of a Banach space  $X$ ,  $u_0 \in \text{int } U$  and  $T : U \rightarrow X$  is a mapping.

For  $r > 0$  and  $u \in X$  we let  $B(u, r)$  be the open ball of  $X$  of radius  $r$  and center  $u$ , i.e.

$$B(u, r) = \{v \in X : \|u - v\| < r\}.$$

For every pair  $u, v \in X$ , the set  $[u, v] = \{w \in X : w = (1 - \lambda)u + \lambda v, \lambda \in [0, 1]\}$  is called the segment between  $u$  and  $v$ .

We shall assume  $u_0 \in \text{int } U$ .

**Definition 3.1.** (Leray-Schauder, see [15]) Let  $u \in \partial U$ .  $T$  satisfies the Leray-Schauder boundary condition (LSB) at  $u$  relative to  $U$  if and only if

$$(1) \quad (1 - \lambda)u_0 + \lambda T(u) \neq u \text{ for every } \lambda \in [0, 1].$$

**Remark 3.1.** The definition has the equivalent form

$$(2) \quad T(u) - u_0 \neq k(u - u_0) \text{ for } \lambda \in [0, 1].$$

In fact Definition 3.1 says that  $T$  satisfies LSB at  $u$  if and only if the point  $u$  doesn't lie on the segment  $[u_0, T(u)]$ .

**Definition 3.2.** (Browder-Petryshyn, see [8]) Let  $u \in U$  with  $u \neq T(u)$ .  $T$  satisfies the Browder-Petryshyn condition (BP) at  $u$  relative to  $U$  if and only if

$$(3) \quad B(T(u), \|T(u) - u\|) \cap U \neq \emptyset.$$

**Remark 3.2.** (i) The relation (3) is equivalent to the existence of an element  $v \in U$  such that

$$\|T(u) - v\| < \|T(u) - u\|.$$

(ii) Obviously, if  $T(u) \in U$  or  $u \in \text{int } U$ , then  $T$  satisfies BP at  $u$  relative to  $U$ .

**Definition 3.3.** (Cramer-Ray, see [22]) Let  $u \in U$  with  $u \neq T(u)$ .  $T$  satisfies the Cramer-Ray condition (CR) at  $u$  relative to  $U$  if and only if

$$(4) \quad \liminf_{h \rightarrow 0^+} \frac{d((1 - h)u + hT(u), U)}{h} < \|u - T(u)\|.$$

**Lemma 3.1.** Let  $U$  be convex and  $u \in U$  with  $u \neq T(u)$ .  $T$  satisfies CR at  $u$  if and only if there exists  $v \in U$  and  $0 < h \leq 1$  such that

$$(5) \quad \frac{\|(1 - h)u + hT(u) - v\|}{h} < \|u - T(u)\|.$$

**Proof.**  $\Rightarrow$ ) Obvious.

$\Leftarrow$ ) Without loss of generality, choose  $0 < k < 1$  such that

$$\frac{\|(1 - h)u + hT(u) - v\|}{h} < k\|u - T(u)\|.$$

For each  $a \in (0, 1)$  let  $z(a) = u + a(v - u)$ . Since  $z(a) \in [u, v]$  and  $U$  is convex we have  $z(a) \in U$ . Now, it suffices to show that for any  $a \in (0, 1)$ ,  $z(a)$  satisfies

$$\frac{\|(1 - ah)u + ahT(u) - z(a)\|}{ah} \leq k\|u - T(u)\|.$$

Since

$$\begin{aligned} \frac{\|(1-ah)u + ahT(u) - z(a)\|}{ah} &= \frac{\|u - ah(u - T(u)) - z(a)\|}{ah} = \\ &= \frac{\|(1-h)u + hT(u) - v\|}{h} \leq k\|u - T(u)\|. \end{aligned}$$

Thus the lemma is proved.  $\square$

**Remark 3.3.** If  $X$  is a Hilbert space, with inner product  $(\cdot, \cdot)$ , it is possible to introduce the Leray-Schauder condition (LS), see [31], in the following way:

Let  $u \in U$  with  $u \neq T(u)$  and

$$LS(u, T(u)) = \{v \in X : \operatorname{Re} (T(u) - u, v - u) > 0\}.$$

The mapping  $T$  satisfies (LS) at  $u$  relative to  $U$  if and only if

$$(6) \quad LS * u, T(u) \cap U \neq \emptyset.$$

If  $U$  is convex and  $u \in U$  with  $u \neq T(u)$  then (see [31])

$$T \text{ satisfies LS at } u \text{ if and only if } T \text{ satisfies BP at } u$$

and

$$T \text{ satisfies LS at } u \text{ if and only if } T \text{ satisfies CR at } u.$$

**Proposition 3.1.** *Let  $X$  be a Banach space,  $U = \overline{B}(u_0, r)$  and  $u \in \partial U$  such that  $u \neq T(u)$ .  $T$  satisfies LSB at  $u$  if and only if  $T$  satisfies BP at  $u$ .*

**Proof.**  $\Leftarrow$ ) Assume that  $T$  satisfies BP and we wish  $T$  satisfies LSB. We know that

$$\|T(u) - u_0\| \leq \|T(u) - v\| + \|v - u_0\|$$

for any  $v \in U$ . If  $T$  satisfies BP at  $u$  then conform of remark 3.2 exists  $v \in U$  such that

$$\|T(u) - v\| < \|T(u) - u\|.$$

Since  $u \in \partial U$  we have

$$\|u_0 - v\| < \|u_0 - u\| = r.$$

So

$$\|T(u) - u_0\| < \|T(u) - u\| + \|u - u_0\|.$$

In conclusion  $u \notin [u_0, T(u)]$ , i.e.  $T$  satisfies LSB.

$\Rightarrow$ ) Assume that  $T$  satisfies LSB and we wish  $T$  satisfies BP. Without loss of generality we can consider  $\|u_0 - T(u)\| > r$ . Affirm that

$$v = \frac{r}{\|T(u) - u_0\|} T(u) + \left(1 - \frac{r}{\|T(u) - u_0\|}\right) u_0 \in U \cap B(T(u), \|T(u) - u\|).$$

Indeed, we have

$$\|v - u_0\| = \left\| \frac{r}{\|T(u) - u_0\|} T(u) + \left(1 - \frac{r}{\|T(u) - u_0\|}\right) u_0 - u_0 \right\| = r$$

hence  $v \in U$ .

On the other side

$$\|T(u) - v\| = \left| 1 - \frac{r}{\|T(u) - u_0\|} \right| \|T(u) - u_0\| = \|T(u) - u_0\| - \|v - u_0\|.$$

Since  $T$  satisfies LSB results

$$\|T(u) - u_0\| < \|T(u) - u\| + \|u - u_0\|.$$

Then

$$\|T(u) - v\| < \|T(u) - u\| + \|u - u_0\| - \|v - u_0\| = \|u - T(u)\|,$$

since  $\|u_0 - v\| = \|u_0 - u\| = r$ .

Then  $\|T(u) - v\| < \|T(u) - u\|$ , i.e.  $v \in B(T(u), \|T(u) - u\|)$ .  $\square$

If  $U \neq \overline{B}(u_0, r)$ , the last proposition is not true.

**Example 3.1.** Let  $X = \mathbb{R}^2$ , with euclidian's norm and

$$U = \{(x, y) \in \mathbb{R}^2, |x| \leq 1, |y| \leq 1\},$$

i.e.  $U$  is the square with vertex  $(1, 1)$ ,  $(-1, 1)$ ,  $(-1, -1)$ ,  $(1, -1)$ . Choose  $u_0 = (0, 0)$ ,

$u = \left(1, \frac{1}{n}\right)$  with  $n > 1$  and suppose that exists a mapping  $T : U \rightarrow \mathbb{R}^2$  such that  $T(u) = \left(k, \frac{k}{n}\right)$ , for  $k > 1$ . Under of this assumption, we have  $T(u) = ku$  for  $k > 1$ ,

so remark 1.1 said  $T$  does not satisfies LSB. Bur for  $k > \frac{n+1}{2}$ ,  $T$  satisfies BP.

Now, we fix the point  $v = (1, 1)$  and obtain

$$\|T(u) - v\|^2 = (k-1)^2 + \left(\frac{k}{n} - 1\right)^2 = \frac{n^2(k-1)^2 + (k-n)^2}{n^2}.$$

Moreover

$$\|T(u) - u\|^2 = (k-1)^2 + \left(\frac{k}{n} - \frac{1}{n}\right)^2 = \frac{(n^2+1)(k-1)^2}{n^2}.$$

The mapping  $T$  satisfies BP is equivalent with

$$\|T(u) - v\| < \|T(u) - u\|,$$

that is to say

$$\begin{aligned} (n^2+1)(k-1)^2 &> n^2(k-1)^2 + (k-n)^2 \\ (k-1)^2 &> (k-n)^2 \\ 2k(n-1) &> n^2 - 1 \\ k &> \frac{n+1}{2}. \end{aligned}$$

So for  $k > \frac{n+1}{2}$ ,  $T$  satisfies BP, but  $T$  not satisfies LSB.

### 3.1 Conditions of retractibility

In following, we denote by  $F_f$  the set of fixed point of the mapping  $f$ .

**Definition 3.2.1.** ([9]) A mapping  $f : Y \rightarrow X$  is retractible onto  $Y$  if there is a retraction  $\rho : X \rightarrow Y$  such that  $F_{\rho \circ f} = F_f$ .

Condition (i)  $F_{\rho \circ f} = F_f$  is equivalent with:

(ii) if  $x \in \rho(f(Y) \setminus Y)$ , then  $f(x) \notin \rho^{-1}(x) \setminus \{x\}$ .

Indeed, theorem 1.1 from [7] - the retraction mapping principle - shows that condition (ii) implies (i); now we suppose  $F_{\rho \circ f} = F_f$  and there exists  $x \in \rho(f(Y) \setminus Y)$  such that  $f(x) \in \rho^{-1}(x) \setminus \{x\}$ . Hence  $x \notin F_f$ , but on the other side  $x = \rho(f(x))$ , i.e.  $x \in F_{\rho \circ f}$ . This is a contradiction, so (i) implies (ii). In conclusion Definition 3.2.1 is equivalent with the definition given by Brown (see [7]).

**Example 2.1.** (Poincaré, Bohl, Leray-Schauder, Rothe, Altman, Furi-Vignoli,...) Let  $X$  be a Banach space and  $Y = \overline{B}(0, R) \subset X$ . If  $f : \overline{B}(0, R) \rightarrow X$  is such that  $\|x\| = R$ ,  $f(x) = \lambda x$  implies  $\lambda \leq 1$ , then  $f$  is retractible onto  $\overline{B}(0, R)$  with respect to the radial retraction  $\rho : X \rightarrow \overline{B}(0, R)$ .

**Example 2.2.** (Altman) Let  $X$  be a Banach space and  $f : X \rightarrow X$  a norme contraction mapping. Then there exists  $R > 0$  such that  $f : \overline{B}(0, R) \rightarrow X$  is retractible onto  $\overline{B}(0, R)$  with respect to the radial retraction.

**Example 2.3.** (Halpern-Beroman) Let  $X$  be a strictly convex normed linear space. Let  $Y \subset X$  be a compact convex subset of  $X$  and  $\rho : X \rightarrow Y$  the metric projection onto  $Y$ . If  $f : Y \rightarrow X$  is nowhere normal-outward, then  $f$  is retractible onto  $Y$  with respect to  $\rho$ .

**Example 2.4.** Let  $X$  be a set,  $Y \subset X$  a subset of  $X$  and  $\rho : X \rightarrow Y$  a retraction. If  $f : Y \rightarrow X$  is such that  $x \in Y \setminus F_f$  implies  $f(x) \in X \setminus \rho^{-1}(x)$ , then  $f$  is retractible onto  $Y$  with respect to  $\rho$ .

In this paragraph we will give some theorems with form: if  $T$  satisfies a kind of boundary conditions then  $T$  is retractible.

**Theorem 3.2.1.** Let  $X$  be a Hilbert space,  $U \in P_{cv,cl}(X)$ . If the mapping  $T : U \rightarrow X$  satisfies BP for any  $u \in \partial U$  then  $T$  is retractible onto  $U$  with respect to the projection mapping of  $X$  to  $U$ .

**Proof.** Here  $\rho = P$  denote the metric projection. Assume that  $F_{\rho \circ f} \neq F_f$ . Let  $u \in F_{P \circ T} \setminus F_T \neq \emptyset$ . Then  $u = P(T(u))$  and  $u \in \partial U$ . This is equivalent with  $T(u) \neq u$  and  $0 < \|u - T(u)\| < \|T(u) - v\|$ , for any  $v \in U$ . Results a contradiction with  $T$  satisfies BP condition.  $\square$

Let  $X$  be a Hilbert space,  $U \subset X$  convex,  $u \in U$  with  $u \neq T(u)$ . From Remark 3.3 results  $T$  satisfies BP at  $u$  iff  $T$  satisfies CR at  $u$ . Then we have

**Theorem 3.2.2.** Let  $X$  be a Hilbert space,  $U \in P_{cv,cl}(X)$ . If the mapping  $T : U \rightarrow X$  satisfies CR for any  $u \in \partial U$ , then  $T$  is retractible onto  $U$  with respect to the metric projection of  $X$  onto  $U$ .

For a Banach space  $X$  we will consider the retraction  $\rho$  given by relation (2.3.1).

**Theorem 3.2.3.** Let  $X$  be a Banach space,  $U \in P_{cv,cl}(X)$ ,  $u_0 \in \text{int } U$  and the mapping  $T : U \rightarrow X$ . If  $T$  satisfies LSB for any  $u \in \partial U$ , then  $T$  is retractible onto  $U$  with respect of the retraction  $\rho$ .

**Proof.** Assume that  $F_{\rho \circ f} \neq F_f$ . Let  $u \in F_{P \circ T} \setminus F_T \neq \emptyset$ , i.e.  $T(u) \neq u$  and

$u = \rho(T(u)) \in \partial U$ . From definition of  $\rho$  results that there exists  $k \in (0, 1)$  such that  $u = kT(u) + (1 - k)u_0$ . We get a contraction with  $T$  satisfies LSB for  $u \in \partial U$ . In conclusion  $T$  is retractible onto  $U$  with respect to  $\rho$ .  $\square$

By Proposition 3.1 if  $U = \overline{B}(u_0, r)$ , then  $T$  satisfies LSB at  $u$  is equivalent with  $T$  satisfies BP. Then we have

**Theorem 3.2.4.** *Let  $X$  be a Banach space and  $u_0 \in X$ . If the mapping  $T : \overline{B}(u_0, r) \rightarrow X$  satisfies BP for any  $u \in \partial \overline{B}(u_0, r)$  then  $T$  is retractible onto  $\overline{B}(u_0, r)$  with respect to the radial retraction.*

**Theorem 3.2.5.** *Let  $X$  be a vector lattice (ordered space),  $v \in X_+$  and  $T : [-v, v] \rightarrow X$  be an operator.*

*If  $T(u) \notin Y$  implies*

$$\sup\{[0, T(u)_+] \cap [0, v]\} - \sup\{[0, T(u)_- \cap [0, v]\} \neq u$$

*then  $T$  is retractible onto  $[-v, v]$  with respect of retraction  $\varphi$  given by relation (2.4.1).*

**Proof.** Let  $u \in F_{\varphi \circ T} \setminus F_T \neq \emptyset$ . Then  $u = (\varphi \circ T)(u)$  and  $u \neq T(u)$ . Results  $T(u) \notin [-v, v]$  so

$$u = \varphi(T(u)) = \sup\{[0, T(u)_+] \cap [0, v]\} - \sup\{[0, T(u)_- \cap [0, v]\}.$$

We get a contradiction, hence  $F_{\varphi \circ T} \subset F_T$ . This implies  $F_{\varphi \circ T} = F_T$ , i.e.  $T$  is retractible onto  $[-v, v]$  with respect to  $\varphi$ .  $\square$

## 4 Fixed points of retractible mappings

### 4.1

Let us starting with

**Lemma 4.1.** (see [29]) *Let  $(X, S, M)$  be a fixed point structure. Let  $Y \in S$  and  $\rho : X \rightarrow Y$  a retraction. Let  $f : Y \rightarrow X$  be such that*

- (i)  $\rho \circ f \in M(Y)$
- (ii)  $f$  is retractible onto  $Y$  by  $\rho$ .

*Then  $F_f \neq \emptyset$ .*

**Proof.** From (i) we obtain  $F_{\rho \circ f} \neq \emptyset$  and from (ii) we have  $F_{\rho \circ f} = F_f$ . Results  $F_f \neq \emptyset$ .  $\square$

### 4.2

**Theorem 4.2.1.** *Let  $X$  be a Hilbert space,  $U \in P_{cv,cl,b}(X)$  and  $T : U \rightarrow X$  is a nonexpansive mapping. If  $T$  satisfies BP for any  $u \in \partial U$ , then  $F_f \neq \emptyset$ .*

**Proof.** We take  $(X, S, M)$  as in example 1.7 and  $\rho$  the projection mapping of  $X$  onto  $Y$ . Since  $\rho$  and  $T$  is nonexpansive mapping hence (i) from lemma 4.1 is verified. By Theorem 3.2.1 we have  $T$  is retractible onto  $U$  with respect to the metric projection, then (ii) is satisfied.  $\square$

Obviously, we have

**Theorem 4.2.2.** *Let  $X$  be a Hilbert space,  $U \in P_{cv,cl,b}(X)$  and  $T : U \rightarrow X$  is a nonexpansive mapping. If  $T$  satisfies CR for any  $u \in \partial U$ , then  $F_f \neq \emptyset$ .*

### 4.3 A Leray-Schauder type theorem

Let  $X$  be a Banach space,  $Y \in P_{cl,cv}(X)$  and  $x_0 \in \text{int} Y$ . A mapping  $T : Y \rightarrow Y$  is said to be a Mönch operator if and only if  $T$  is continuous and for any  $C \in P_b(X)$  satisfies  $\overline{C} \subset \overline{cv}\{x_0\} \cup T(C)$  we have that  $\overline{C}$  is compact. In what follows we denote by  $\alpha$  a measure of noncompactness on  $X$ .

**Remark 4.3.1.** If  $T : Y \rightarrow Y$  is  $\alpha$ -condensing (i.e.  $T$  is continuous and for any  $C \in P_b(X)$  with  $\alpha(C) \neq 0$  we have  $\alpha(T(C)) < \alpha(C)$ ) then  $T$  is a Mönch operator. Indeed, for  $C \in P_b(X)$ , since  $\overline{C} \subset \overline{cv}\{\{x_0\} \cup T(C)\}$  we have  $\alpha(\overline{C}) < \alpha(\overline{cv}\{\{x_0\} \cup T(C)\}) = \alpha(T(C)) < \alpha(C)$ . Hence  $\alpha(C) = 0$ , that is  $\overline{C}$  is compact.

**Remark 4.3.2.** If  $T : Y \rightarrow Y$  is a  $(\alpha, a)$ -contraction (i.e.  $T$  is continuous and there is  $a \in [0, 1)$  such that for any  $C \in P_b(X)$  we have  $\alpha(T(C)) < a\alpha(C)$ ) then  $T$  is a Mönch operator. Indeed, if  $C \in P_b(X)$  satisfies  $\overline{C} \subset \overline{cv}\{\{x_0\} \cup T(C)\}$ , then

$$\alpha(\overline{C}) < \alpha(\overline{cv}\{\{x_0\} \cup T(C)\}) = \alpha(T(C)) < a\alpha(C).$$

Hence  $\alpha(C)(1 - a) < 0$ . Thus  $a > 1$ . This is a contradiction with  $a \in [0, 1)$ , so  $\alpha(C) = 0$ .

**Remark 4.3.3.** If  $T : Y \rightarrow Y$  is complet continuous (i.e.  $T$  is continuous and for any  $C \in P_b(X)$ ,  $\overline{T(C)}$  is compact), then  $T$  is a Mönch operator. Indeed, if  $C \in P_b(X)$  and  $\overline{C} \subset \overline{cv}\{\{x_0\} \cup T(C)\}$  then

$$\alpha(\overline{C}) < \alpha(\overline{cv}\{\{x_0\} \cup T(C)\}) = \alpha(T(C)) = 0,$$

i.e.  $\overline{C}$  is compact.

Now we present a new proof of a result by Mönch [17], in the particular case that the domain of the operator is convex.

**Theorem 4.3.1.** *Let  $X$  be a Banach space,  $Y \in P_{cl,cv}(X)$ ,  $x_0 \in \text{int} Y$  and  $T : Y \rightarrow X$  a Mönch operator. If  $T$  satisfies LSB for any  $x \in \partial Y$ , then  $F_T \neq \emptyset$ .*

**Proof.** Let  $\rho : X \rightarrow Y$  be the retraction given by (2.3.1). Obviously,  $\rho \circ T : Y \rightarrow Y$  is continuous, and  $T$  is retractible onto  $Y$  by  $\rho$ . We wish to prove that  $\rho \circ T : Y \rightarrow Y$  is a Mönch operator. For this, let  $C \in P_b(X)$  such that  $\overline{C} \subset \overline{cv}\{\{x_0\} \cup (\rho \circ T)(C)\}$ . By the definition of  $\rho$ , we have

$$(\rho \circ T)(C) \subset \overline{cv}\{\{x_0\} \cup T(C)\}.$$

Then

$$\overline{C} \subset \overline{cv}\{\{x_0\} \cup (\rho \circ T)(C)\} \subset \overline{cv}\{\{x_0\} \cup T(C)\}.$$

Since  $T$  is a Mönch operator, we have  $\overline{C}$  compact. Hence  $\rho \circ T$  is a Mönch operator.  $\square$

Using Remark 4.3.1, 4.3.2 and 4.3.3 we can derive from Theorem 4.3.1 the following results:

**Theorem 4.3.2.** *Let  $X$  be a Banach space,  $Y \in P_{cl,cv}(X)$ ,  $x_0 \in \text{int} Y$ . If  $T : Y \rightarrow X$  is  $\alpha$ -condensing and  $T$  satisfies LSB for any  $x \in \partial Y$ , then  $F_T \neq \emptyset$ .*

**Theorem 4.3.3.** *Let  $X$  be a Banach space,  $Y \in P_{cl,cv}(X)$ ,  $x_0 \in \text{int} Y$ . If  $T : Y \rightarrow X$  is a  $(\alpha, a)$ -contraction and  $T$  satisfies LSB for any  $x \in \partial Y$ , then  $F_T \neq \emptyset$ .*

**Theorem 4.3.4.** (The classical principle of Leray-Schauder, see [15]) *Let  $X$  be a Banach space,  $Y \in P_{clcv}(X)$ ,  $x_0 \in \text{int} Y$ . If  $T^n Y \rightarrow X$  is completely continuous and  $T$  satisfies LSB for any  $x \in \partial Y$ , then  $F_T \neq \emptyset$ .*

If  $Y = \overline{B}(x_0, R)$ , then  $T$  satisfies LSB if and only if  $T$  satisfies BP. Thus, we have:

**Theorem 4.3.5.** *Let  $X$  be a Banach space, and  $T : Y = \overline{B}(x_0, R) \rightarrow X$  a Mönch operator. If  $T$  satisfies BP for any  $x \in \partial Y$ , then  $F_T \neq \emptyset$ .*

#### 4.4

We have the following result (see [30])

**Theorem 4.4.1.** *Let  $(X, d, \leq)$  be an ordered metric space,  $f : X \rightarrow X$  an operator and  $x, y \in X$  such that  $x < y$ ,  $x \leq f(x)$  and  $f(y) \leq y$ .*

Assume that

- (i)  $f$  is increasing;
- (ii)  $f$  is weakly Picard operator.

Then

- a)  $x \leq f^\infty(x) \leq f^\infty(y) \leq y$
- b)  $f^\infty(x)$  is the minimal fixed point of  $f$  in  $F_f \cap [x, y]$  and  $f^\infty(y)$  is the maximal fixed point of  $f$  in  $F_f \cap [x, y]$ .

Now we can prove the most important result of this paragraph.

**Theorem 4.4.2.** *Let  $X$  be an ordered space,  $v \in X$ , and let the operator  $T : [-v, v] \rightarrow X$  be continuous and increasing. If  $T(u) \notin [-v, v]$  implies*

$$\sup\{[0, T(u)_+] \cap [0, v]\} - \sup\{[0, T(u)_-] \cap [0, v]\} \neq v$$

for any  $u \in [-v, v]$ , then there exists  $\underline{u}$  and  $\bar{u}$ , the minimal solution, respectively the maximal solution of the equation  $T(u) = u$ .

**Proof.** We can define the operator  $h : [-v, v] \rightarrow [-v, v]$ ,  $h = \varphi \circ T$  with  $\varphi$  the retraction giving by (2.4.1). We have  $F_h = F_T$  and application  $h$  is continuous and increasing. Much more  $-v \leq h(v)$  and  $h(v) \leq v$ . So, hypothesis from theorem 4.4.1 is satisfied. Then

$$-v \leq h^\infty(-v) \leq h^\infty(v) \leq v$$

and  $h^\infty(-v) = \underline{u}$  is the minimal fixed point of  $h$  in  $[-v, v]$ ,  $h^\infty(v) = \bar{u}$  is the maximal fixed point of  $h$  in  $[-v, v]$ . Since  $\underline{u}, \bar{u} \in F_h$ , hence  $\underline{u}, \bar{u} \in F_T$  and  $\underline{u} \leq u \leq \bar{u}$  for every  $y \in F_T$ .  $\square$

For a similar result when  $T$  is decreasing see [20].

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