

A GENERALIZATION OF THE CIRCULANT MATRIX,  
AND THE IRREDUCIBILITY OF THE POLYNOMIAL  $X^n - a$

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**Abstract.** We study in an elementary way the irreducibility over  $\mathbb{Q}$  of the polynomial  $X^n - a \in \mathbb{Q}[X]$ , by using the properties of an  $n \times n$  matrix with rational entries associated to a polynomial of degree less than  $n$ .

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**Key words.** irreducible polynomial, minimal polynomial, circulant matrix, field extension.

1. INTRODUCTION

One of the often encountered exercises in high school exams is the following:

*Prove that if  $a, b, c$  are rational numbers such that  $a + b\sqrt[3]{2} + c\sqrt[3]{4} = 0$ , then  $a = b = c = 0$ .*

A usual elementary argument leads to the equality

$$a^3 + 2b^3 + 4c^3 + 6abc = 0.$$

Note that the left hand side is just the determinant of the matrix

$$\begin{pmatrix} a & b & c \\ 2c & a & b \\ 2b & 2c & a \end{pmatrix},$$

which we denote here by  $C_2(a, b, c)$ , and we regard it as a modification of the cyclic matrix  $C(a, b, c)$ .

In this paper, to a polynomial  $f$  of degree  $< n$  and a rational number  $a$  we associate an  $n \times n$  matrix  $C_a(f)$ , and we investigate the connection between  $\det C_a(f)$  and the irreducibility of the polynomial  $X^n - a \in \mathbb{Q}[X]$ .

Readers familiar with the theory of field extensions (see [4, Chapters 5, 6]) may recognize that we are talking about the field norm  $N_{\mathbb{Q}(\sqrt[n]{a})/\mathbb{Q}}(f(\sqrt[n]{a}))$  (see [4, Section 6.5]). But our approach intends to be as elementary as possible, being inspired by Toma Albu's papers [1, 2, 3]. We obtain the properties of the matrix  $C_a(f)$  by using the properties of the cyclic matrix  $C(f)$ .

The paper is organized as follows. In Section 2 we recall some basic facts about simple extensions of the field  $\mathbb{Q}$  of rational numbers, in the form we need them. For any other unexplained notions we refer to [5]. In Section 3 we introduce the matrix  $C_a(f)$ , we calculate its characteristic polynomial, and we obtain a matrix representation over  $\mathbb{Q}$  of the field  $\mathbb{Q}(\sqrt[n]{a})$ . Finally, in Section 4 we discuss the irreducibility over  $\mathbb{Q}$  of the polynomial  $X^n - a$ , in terms of the determinant of  $C_a(f)$ .

## 2. PRELIMINARIES ON FIELD EXTENSIONS

DEFINITION 1. A polynomial is called *irreducible* over the field  $K$  if it cannot be expressed as a product of lower degree polynomials with coefficients in  $K$ .

DEFINITION 2. Let  $K$  be a subfield of  $L$ . The dimension of the vector space  $L$  over  $K$  is called the *degree of the field extension*  $K \leq L$ , and it is denoted by  $[L : K]$ .

Let  $n \geq 1$ , let  $f = a_0 + a_1X + a_2X^2 + \dots + a_{n-1}X^{n-1} + X^n \in \mathbb{Q}[X]$ , and let  $\alpha \in \mathbb{C}$  a root of  $f$ . Denote by

$$\mathbb{Q}(\alpha) = \{b_0 + b_1\alpha + b_2\alpha^2 + \dots + b_{n-1}\alpha^{n-1} \mid b_i \in \mathbb{Q}, i = 0, \dots, n-1\}$$

the  $\mathbb{Q}$ -vector space generated by the set  $\{1, \alpha, \alpha^2, \dots, \alpha^{n-1}\}$ .

The following result is well-known, but we include a complete proof, for convenience.

PROPOSITION 1. *The following statements are equivalent:*

- (i)  $f$  is irreducible over  $\mathbb{Q}$ ;
- (ii)  $g \in \mathbb{Q}[X]$ ,  $g(\alpha) = 0 \implies f \mid g$ ;
- (iii) the quotient ring  $\mathbb{Q}[X]/(f)$  is a field;
- (iv) the quotient ring  $\mathbb{Q}[X]/(f)$  is an integral domain;
- (v)  $1, \alpha, \alpha^2, \dots, \alpha^{n-1}$  are linearly independent over  $\mathbb{Q}$ .
- (vi)  $\alpha$  is not a root of a non-zero polynomial of degree less than  $n$ .

In this case

- a)  $\mathbb{Q}(\alpha)$  is a subfield of  $\mathbb{C}$ ;
- b)  $\mathbb{Q}[X]/(f) \simeq \mathbb{Q}(\alpha)$ .

*Proof.* (i)  $\implies$  (ii) Suppose by contradiction that  $f \nmid g$ . Since  $f$  is irreducible, we have that the greatest common divisor of  $f$  and  $g$  is 1. Therefore, there exist  $u, v \in \mathbb{Q}[X]$  such that  $fu + gv = 1$ . Hence  $1 = f(\alpha)u(\alpha) + g(\alpha)v(\alpha) = 0 \cdot u(\alpha) + 0 \cdot v(\alpha) = 0$ , contradiction.

(ii)  $\implies$  (i) Suppose by contradiction that  $f$  is reducible over  $\mathbb{Q}$ . Then there exist  $f_1, f_2 \in \mathbb{Q}[X]$ , such that  $f_1, f_2 \neq 0$ ,  $\deg(f_1) < \deg(f)$ ,  $\deg(f_2) < \deg(f)$  and  $f = f_1f_2$ . Thus,  $f(\alpha) = f_1(\alpha)f_2(\alpha) = 0$ , which means that  $f_1(\alpha) = 0$  or  $f_2(\alpha) = 0$ . Assume, without loss of generality, that  $f_1(\alpha) = 0$ . Then  $f \mid f_1$ , which means that  $\deg(f) \leq \deg(f_1)$ , contradiction.

(i)  $\implies$  (iii) For any  $g \in \mathbb{Q}[X]$ , we use the notation  $\hat{g} = g + (f)$ , hence  $\hat{g} \in \mathbb{Q}[X]/(f)$ . Let  $\hat{g} \in \mathbb{Q}[X]/(f)$ ,  $\hat{g} \neq \hat{0}$ . Then  $g \in \mathbb{Q}[X]$  is a polynomial which is not divisible by  $f$ . Since  $f$  is irreducible and  $f \nmid g$ , we have that the greatest common divisor of  $f$  and  $g$  is 1. Then there exist  $u, v \in \mathbb{Q}[X]$  such that  $fu + gv = 1$ . Since  $fu \in (f)$ , we have  $\hat{f}u = \hat{0}$  and  $\hat{g} \cdot \hat{v} = \hat{g}v = \hat{1}$ , which shows that  $\hat{g}$  is invertible, thus  $\mathbb{Q}[X]/(f)$  is a field.

(iii)  $\implies$  (i) Assume that  $f$  is not irreducible. If  $f = f_1f_2$ , where  $f_1$  and  $f_2$  are non-constant polynomials, then  $\deg(f_1) < \deg(f)$  and  $\deg(f_2) < \deg(f)$ , so  $f_1$  and  $f_2$  are not multiples of  $f$ , and therefore  $\hat{f}_1 \neq \hat{0}$  and  $\hat{f}_2 \neq \hat{0}$ . However,

$\widehat{f_1 f_2} = \widehat{f_1} \widehat{f_2} = \widehat{f} = \widehat{0}$ . Therefore,  $\mathbb{Q}[X]/(f)$  has a zero divisor hence is not a field.

(iii)  $\implies$  (iv) is obvious.

(iv)  $\implies$  (iii)  $\mathbb{Q}[X]/(f)$  is a  $\mathbb{Q}$ -algebra with basis  $\{\widehat{1}, \widehat{X}, \widehat{X^2}, \dots, \widehat{X^{n-1}}\}$ . Let  $a \in \mathbb{Q}[X]/(f), a \neq 0$ . We define the function

$$F : \mathbb{Q}[X]/(f) \rightarrow \mathbb{Q}[X]/(f), \quad F(x) = ax.$$

Let  $x, y \in \mathbb{Q}[X]/(f)$  such that  $F(x) = F(y)$ . Therefore,  $ax = ay$  and  $a(x - y) = 0$ . But, we are in an integral domain and  $a \neq 0$ , hence  $x - y = 0$ . Thus  $F$  is injective. Moreover,  $\dim(\mathbb{Q}[X]/(f)) < \infty$ , so  $F$  is a bijection. We conclude that there exists  $b \in \mathbb{Q}[X]/(f)$  such that  $F(b) = 1$ , so  $b$  is the inverse of  $a$ . It follows that  $\mathbb{Q}[X]/(f)$  is a field.

(ii)  $\implies$  (v) Let  $b_0, b_1, \dots, b_{n-1} \in \mathbb{Q}$  such that

$$b_0 + b_1\alpha + b_2\alpha^2 + \dots + b_{n-1}\alpha^{n-1} = 0.$$

We define the polynomial

$$g = b_0 + b_1X + b_2X^2 + \dots + b_{n-1}X^{n-1} \in \mathbb{Q}[X].$$

Therefore,  $g(\alpha) = 0$  and, using ii), we have that  $f \mid g$ . However,  $\deg(g) < \deg(f)$  and this implies that  $g = 0$ , hence  $b_0 = \dots = b_{n-1} = 0$  and  $1, \alpha, \alpha^2, \dots, \alpha^{n-1}$  are linearly independent over  $\mathbb{Q}$ .

(v)  $\implies$  (i) Suppose that  $f$  is not irreducible. Then there exists  $f_1, f_2 \in \mathbb{Q}[X]$  such that  $\deg(f_1) \leq n - 1$ ,  $\deg(f_2) \leq n - 1$ ,  $f_1$  is irreducible,  $f_1(\alpha) = 0$  and  $f = f_1 f_2$ . Let  $k$  be the degree of  $f_1$ , where  $k \leq n - 1$ . Therefore we may write

$$f_1 = b_k X^k + b_{k-1} X^{k-1} + \dots + b_1 X + b_0,$$

where  $b_k \neq 0$ . Since  $f_1(\alpha) = 0$ , we conclude that  $1, \alpha, \dots, \alpha^{n-1}, \alpha^n$  are linearly dependent over  $\mathbb{Q}$ .

(v)  $\iff$  (vi) is obvious.

a) We have that  $\mathbb{Q}(\alpha) \subset \mathbb{C}$  and  $0, 1 \in \mathbb{Q}(\alpha)$ . Let

$$u = b_0 + b_1\alpha + b_2\alpha^2 + \dots + b_{n-1}\alpha^{n-1} \in \mathbb{Q}(\alpha),$$

$$v = c_0 + c_1\alpha + c_2\alpha^2 + \dots + c_{n-1}\alpha^{n-1} \in \mathbb{Q}(\alpha).$$

Clearly,  $u - v \in \mathbb{Q}(\alpha)$ . Let

$$g = b_0 + b_1X + b_2X^2 + \dots + b_{n-1}X^{n-1} \in \mathbb{Q}[X],$$

$$h = c_0 + c_1X + c_2X^2 + \dots + c_{n-1}X^{n-1} \in \mathbb{Q}[X].$$

Hence,  $uv = g(\alpha)h(\alpha) = (gh)(\alpha)$ . But there exists  $q, r \in \mathbb{Q}[X]$ ,  $\deg(r) < \deg(f)$  such that  $gh = fq + r$ . Thus,

$$uv = gh(\alpha) = fq(\alpha) + r(\alpha) = r(\alpha) \in \mathbb{Q}(\alpha),$$

because  $\deg(r) \leq n - 1$ .

Now, if  $u \neq 0$ , then  $g(\alpha) \neq 0$ . But  $f$  is irreducible, so the greatest common divisor of  $f$  and  $g$  is 1 and, therefore, there exist  $z, w \in \mathbb{Q}[X]$  such that

$fz + gw = 1$ . Thus,  $f(\alpha)z(\alpha) + g(\alpha)w(\alpha) = 1$  and  $u \cdot w(\alpha) = 1$ , which means that  $u$  is invertible in  $\mathbb{Q}(\alpha)$ , hence  $\mathbb{Q}(\alpha)$  is a field.

b) Let  $\varphi : \mathbb{Q}[X] \rightarrow \mathbb{Q}(\alpha)$ ,  $\varphi(g) = g(\alpha)$  for all  $g \in \mathbb{Q}[X]$ . Then  $\text{Im}(\varphi) = \{g(\alpha) \mid g \in \mathbb{Q}[X]\} = \mathbb{Q}(\alpha)$ , and  $\text{Ker}(\varphi) = \{g \in \mathbb{Q}[X] \mid g(\alpha) = 0\} = (f)$ . By the first isomorphism theorem we have that  $\mathbb{Q}[X]/(f) \simeq \mathbb{Q}(\alpha)$ .  $\square$

DEFINITION 3. The polynomial  $f$  satisfying one of the equivalent statements of Proposition 1 is unique and is called the *minimal polynomial* of  $\alpha$ .

### 3. A GENERALIZATION OF THE CIRCULANT MATRIX

Let  $n \geq 1$ . We fix the polynomial

$$f = a_0 + a_1X + a_2X^2 + \dots + a_{n-1}X^{n-1} \in \mathbb{Q}[X].$$

We also fix the element  $a \in \mathbb{Q}^*$ , and let  $\alpha \in \mathbb{C}$  such that  $\alpha^n = a$ .

By using the element  $a$  and the coefficients of  $f$ , we define the matrix

$$C_a(f) = C_a(a_0, a_1, \dots, a_{n-1}) := \begin{bmatrix} a_0 & a_1 & a_2 & \dots & a_{n-2} & a_{n-1} \\ aa_{n-1} & a_0 & a_1 & \dots & a_{n-3} & a_{n-2} \\ aa_{n-2} & aa_{n-1} & a_0 & \dots & a_{n-4} & a_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ aa_2 & aa_3 & aa_4 & \dots & a_0 & a_1 \\ aa_1 & aa_2 & aa_3 & \dots & aa_{n-1} & a_0 \end{bmatrix}$$

belonging to  $\mathcal{M}_n(\mathbb{Q})$ . In this section we study the properties of  $C_a(f)$ .

Observe that in the particular case  $a = 1$ , we obtain the *circulant matrix*

$$C(f) = C(a_0, a_1, \dots, a_{n-1})$$

of elements  $a_0, a_1, \dots, a_{n-1}$ . The following result is well-known (and note that it is valid for any complex coefficients). Denote by

$$\omega = \cos \frac{2\pi}{n} + i \sin \frac{2\pi}{n}$$

a primitive  $n$ -th root of unity.

LEMMA 1. *The determinant of the circulant matrix is given by*

$$\det C(a_0, a_1, \dots, a_{n-1}) = \prod_{j=0}^{n-1} f(\omega^j).$$

The next result shows that the calculation of  $\det C_a(f)$  reduces to the determinant of a circulant matrix.

LEMMA 2. *We have*

$$\det C(a_0, a_1\alpha, \dots, a_{n-1}\alpha^{n-1}) = \det C_a(a_0, a_1, \dots, a_{n-1}).$$

*Proof.* By using elementary row and column transformations, we have that

$$\begin{aligned}
& \det C(a_0, a_1\alpha, \dots, a_{n-1}\alpha^{n-1}) \\
&= \begin{vmatrix} a_0 & a_1\alpha & a_2\alpha^2 & \dots & a_{n-1}\alpha^{n-1} \\ a_{n-1}\alpha^{n-1} & a_0 & a_1\alpha & \dots & a_{n-2}\alpha^{n-2} \\ a_{n-2}\alpha^{n-2} & a_{n-1}\alpha^{n-1} & a_0 & \dots & a_{n-3}\alpha^{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a_2\alpha^2 & a_3\alpha^3 & a_4\alpha^4 & \dots & a_1\alpha \\ a_1\alpha & a_2\alpha^2 & a_3\alpha^3 & \dots & a_0 \end{vmatrix} \\
&= \frac{1}{\alpha \cdots \alpha^{n-1}} \begin{vmatrix} a_0 & a_1\alpha & a_2\alpha^2 & \dots & a_{n-1}\alpha^{n-1} \\ \alpha a_{n-1}\alpha^{n-1} & \alpha a_0 & \alpha a_1\alpha & \dots & \alpha a_{n-2}\alpha^{n-2} \\ \alpha^2 a_{n-2}\alpha^{n-2} & \alpha^2 a_{n-1}\alpha^{n-1} & \alpha^2 a_0 & \dots & \alpha^2 a_{n-3}\alpha^{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \alpha^{n-1} a_1\alpha & \alpha^{n-1} a_2\alpha^2 & \alpha^{n-1} a_3\alpha^3 & \dots & \alpha^{n-1} a_0 \end{vmatrix} \\
&= \frac{1}{\alpha \cdots \alpha^{n-1}} \begin{vmatrix} a_0 & a_1\alpha & a_2\alpha^2 & \dots & a_{n-2}\alpha^{n-2} & a_{n-1}\alpha^{n-1} \\ a_{n-1}a & a_0\alpha & a_1\alpha^2 & \dots & a_{n-3}\alpha^{n-2} & a_{n-2}\alpha^{n-1} \\ a_{n-2}a & aa_{n-1}\alpha & a_0\alpha^2 & \dots & a_{n-4}\alpha^{n-2} & a_{n-3}\alpha^{n-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ a_2a & aa_3\alpha & aa_4\alpha^2 & \dots & a_0\alpha^{n-2} & a_1\alpha^{n-1} \\ a_1a & aa_2\alpha & aa_3\alpha^2 & \dots & aa_{n-1}\alpha^{n-2} & a_0\alpha^{n-1} \end{vmatrix} \\
&= \frac{\alpha \cdot \alpha^2 \cdot \dots \cdot \alpha^{n-1}}{\alpha \cdot \alpha^2 \cdot \dots \cdot \alpha^{n-1}} \begin{vmatrix} a_0 & a_1 & a_2 & \dots & a_{n-2} & a_{n-1} \\ aa_{n-1} & a_0 & a_1 & \dots & a_{n-3} & a_{n-2} \\ aa_{n-2} & aa_{n-1} & a_0 & \dots & a_{n-4} & a_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ aa_2 & aa_3 & aa_4 & \dots & a_0 & a_1 \\ aa_1 & aa_2 & aa_3 & \dots & aa_{n-1} & a_0 \end{vmatrix} \\
&= \det C_a(a_0, a_1, \dots, a_{n-1}),
\end{aligned}$$

so the statement is proved.  $\square$

REMARK 1. By the above lemma we get that  $\det C(a_0, a_1\alpha, \dots, a_{n-1}\alpha^{n-1}) \in \mathbb{Q}$ , even if  $\alpha$  does not necessarily belong to  $\mathbb{Q}$ .

COROLLARY 1. *We have that*

$$\det C(a_0, a_1\alpha, \dots, a_{n-1}\alpha^{n-1}) = \prod_{j=0}^{n-1} g(\omega^j),$$

where

$$g(X) = f(\alpha X) = a_0 + a_1\alpha X + a_2\alpha^2 X^2 + \dots + a_{n-1}\alpha^{n-1} X^{n-1} \in \mathbb{C}[X].$$

Next we want to discuss some other properties of the matrix  $C_a(f)$ .

PROPOSITION 2. 1)  $C_a(a_0, a_1, \dots, a_{n-1})$  and  $C(a_0, \alpha a_1, \dots, \alpha^{n-1} a_{n-1})$  have the same characteristic polynomial.

2) The characteristic polynomial of  $C_a(f)$  is given by

$$P_{C_a(f)}(X) = \prod_{j=0}^{n-1} (X - f(\alpha \omega^j)).$$

*Proof.* We have that

$$\begin{aligned} P_{C_a}(X) &= \det(XI_n - C_a(a_0, a_1, \dots, a_{n-1})) \\ &= \begin{vmatrix} X - a_0 & -a_1 & -a_2 & \dots & -a_{n-2} & -a_{n-1} \\ -aa_{n-1} & X - a_0 & -a_1 & \dots & -a_{n-3} & -a_{n-2} \\ -aa_{n-2} & -aa_{n-1} & X - a_0 & \dots & -a_{n-4} & -a_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ -aa_2 & -aa_3 & -aa_4 & \dots & X - a_0 & -a_1 \\ -aa_1 & -aa_2 & -aa_3 & \dots & -aa_{n-1} & X - a_0 \end{vmatrix} \\ &= \det C_a(X - a_0, -a_1, -a_2, \dots, -a_{n-1}) \\ &= \det C(X - a_0, -a_1\alpha, -a_2\alpha^2, \dots, -a_{n-1}\alpha^{n-1}) \\ &= \begin{vmatrix} X - a_0 & -a_1\alpha & -a_2\alpha^2 & \dots & -a_{n-1}\alpha^{n-1} \\ -a_{n-1}\alpha^{n-2} & X - a_0 & -a_1\alpha & \dots & -a_{n-2}\alpha^{n-2} \\ -a_{n-2}\alpha^{n-3} & -a_{n-1}\alpha^{n-1} & X - a_0 & \dots & -a_{n-3}\alpha^{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -a_1\alpha & -a_2\alpha^2 & -a_3\alpha^3 & \dots & X - a_0 \end{vmatrix} \\ &= P_{C(a_0, a_1\alpha, \dots, \alpha^{n-1} a_{n-1})}(X). \end{aligned}$$

2) We have that

$$\begin{aligned} P_{C_a(f)}(X) &= P_{C(a_0, a_1\alpha, \dots, \alpha^{n-1} a_{n-1})}(X) \\ &= \det(XI_n - C(a_0, a_1\alpha, \dots, \alpha^{n-1} a_{n-1})) \\ &= \det C(X - a_0, -a_1\alpha, -a_2\alpha^2, \dots, -a_{n-1}\alpha^{n-1}). \end{aligned}$$

By Lemma 1, we have that

$$\det C(X - a_0, -a_1\alpha, -a_2\alpha^2, \dots, -a_{n-1}\alpha^{n-1}) = \prod_{j=0}^{n-1} g(\omega^j),$$

where  $g(Y) = X - a_0 - a_1\alpha Y - a_2\alpha^2 Y^2 - \dots - a_{n-1}\alpha^{n-1} Y^{n-1}$ . Therefore,

$$g(\omega^j) = X - f(\alpha \omega^j),$$

and the statement follows.  $\square$

We now consider the matrix  $M_a = (m_{ij}) \in \mathcal{M}_n(\mathbb{Q})$ , where  $m_{i,i+1} = 1$  for all  $i = 1, \dots, n-1$ ,  $m_{n,1} = a$ , and  $m_{ij} = 0$  otherwise. This means that

$$M_a := \begin{bmatrix} 0 & 1 & 0 & 0 & \dots & 0 & 0 \\ 0 & 0 & 1 & 0 & \dots & 0 & 0 \\ 0 & 0 & 0 & 1 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \dots & 0 & 1 \\ a & 0 & 0 & 0 & \dots & 0 & 0 \end{bmatrix}$$

is just the companion matrix of the polynomial  $X^n - a$ .

**THEOREM 1.** *The following statements hold:*

- 1)  $C_a(f) = f(M_a)$ .
- 2)  $M_a^n = aI_n$ , and  $X^n - a$  is the minimal polynomial of  $M_a$ .

*Proof.* 1) We compute the powers of  $M_a$ . We find that

$$M_a^2 := \begin{bmatrix} 0 & 0 & 1 & 0 & \dots & 0 & 0 \\ 0 & 0 & 0 & 1 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \dots & 0 & 1 \\ a & 0 & 0 & 0 & \dots & 0 & 0 \\ 0 & a & 0 & 0 & \dots & 0 & 0 \end{bmatrix},$$

and then

$$M_a^3 := \begin{bmatrix} 0 & 0 & 0 & 1 & \dots & 0 & 0 \\ 0 & 0 & 0 & 0 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ a & 0 & 0 & 0 & \dots & 0 & 0 \\ 0 & a & 0 & 0 & \dots & 0 & 0 \\ 0 & 0 & a & 0 & \dots & 0 & 0 \end{bmatrix}.$$

Similarly, for all  $k \in \{1, \dots, n-1\}$ , we have that  $M_a^k = (m_{ij}^k)$ , where  $m_{i,i+k}^k = 1$  for all  $i = 1, \dots, n-k$ ,  $m_{n-k+i,i}^k = a$  for all  $i = 1, \dots, k$ , and  $m_{i,j}^k = 0$  otherwise.

Now,

$$\begin{aligned}
C_a(f) &= \begin{bmatrix} a_0 & a_1 & a_2 & \dots & a_{n-2} & a_{n-1} \\ aa_{n-1} & a_0 & a_1 & \dots & a_{n-3} & a_{n-2} \\ aa_{n-2} & aa_{n-1} & a_0 & \dots & a_{n-4} & a_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ aa_2 & aa_3 & aa_4 & \dots & a_0 & a_1 \\ aa_1 & aa_2 & aa_3 & \dots & aa_{n-1} & a_0 \end{bmatrix} \\
&= \begin{bmatrix} a_0 & 0 & 0 & 0 & \dots & 0 & 0 \\ 0 & a_0 & 0 & 0 & \dots & 0 & 0 \\ 0 & 0 & a_0 & 0 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \dots & a_0 & 0 \\ a & 0 & 0 & 0 & \dots & 0 & a_0 \end{bmatrix} + \begin{bmatrix} 0 & a_1 & 0 & 0 & \dots & 0 & 0 \\ 0 & 0 & a_1 & 0 & \dots & 0 & 0 \\ 0 & 0 & 0 & 0 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \dots & 0 & a_1 \\ aa_1 & 0 & 0 & 0 & \dots & 0 & 0 \end{bmatrix} \\
&+ \begin{bmatrix} 0 & 0 & a_2 & 0 & \dots & 0 & 0 \\ 0 & 0 & 0 & a_2 & \dots & 0 & 0 \\ 0 & 0 & 0 & 0 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \dots & 0 & a_2 \\ aa_2 & 0 & 0 & 0 & \dots & 0 & 0 \\ 0 & aa_2 & 0 & 0 & \dots & 0 & 0 \end{bmatrix} \\
&+ \dots + \begin{bmatrix} 0 & 0 & 0 & 0 & \dots & 0 & a_{n-1} \\ aa_{n-1} & 0 & 0 & 0 & \dots & 0 & 0 \\ 0 & aa_{n-1} & 0 & 0 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \dots & 0 & 0 \\ 0 & 0 & 0 & 0 & \dots & 0 & 0 \\ 0 & 0 & 0 & 0 & \dots & aa_{n-1} & 0 \end{bmatrix} \\
&= a_0 I_n + a_1 M_a + a_2 M_a^2 + \dots + a_{n-1} M_a^{n-1} = f(M_a).
\end{aligned}$$

2) We similarly compute that

$$M_a^n = M_a^{n-1} \cdot M_a = \begin{bmatrix} a & 0 & 0 & 0 & \dots & 0 & 0 \\ 0 & a & 0 & 0 & \dots & 0 & 0 \\ 0 & 0 & a & 0 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \dots & 0 & 0 \\ 0 & 0 & 0 & 0 & \dots & a & 0 \\ 0 & 0 & 0 & 0 & \dots & 0 & a \end{bmatrix} = a I_n.$$

These calculations show that  $X^n - a$  is the minimal polynomial of  $M_a$ .  $\square$

PROPOSITION 3. Let  $n \in \mathbf{N}^*$  and  $a \in \mathbb{Q}$ . Let  $\mathbb{Q}_n[X]$  denote the  $\mathbb{Q}$ -vector space comprising the polynomials with degree smaller than  $n$  and  $f, g \in \mathbb{Q}_n[X]$ .

Then:

- a)  $C_a(f) + C_a(g) = C_a(f + g)$ ;
- b)  $C_a(f) \cdot C_a(g) = C_a(fg \text{ mod } (X^n - a))$ .

More precisely, the correspondence  $f \mapsto C_a(f)$  induces an injective homomorphism of  $\mathbb{Q}$ -algebras

$$\mathbb{Q}[X]/(X^n - a) \rightarrow \mathcal{M}_n(\mathbb{Q}).$$

*Proof.* Let

$$\Phi : \mathbb{Q}_n[X] \rightarrow \mathcal{M}_n(\mathbb{Q}), \quad \Phi(f) = C_a(f).$$

Then  $\Phi$  is a  $\mathbb{Q}$ -linear map. Moreover, using Theorem 1, we have that

$$C_a(f) = f(M_a) \implies \Phi(fg) = (fg)(M_a) = f(M_a)g(M_a) = \Phi(f)\Phi(g).$$

Therefore,  $\Phi$  is an algebra homomorphism.

Due to the fact that  $X^n - a$  is the minimal polynomial of  $M_a$ , we must have that  $\text{Ker } \Phi = (X^n - a)$ . Moreover,  $\mathbb{Q}[X]/(X^n - a)$  can be identified with  $\mathbb{Q}_n[X]$ , regarded as  $\mathbb{Q}$ -vector spaces. We conclude that  $\Phi$  is an injective homomorphism, hence statements a) and b) hold.  $\square$

#### 4. THE IRREDUCIBILITY OF THE POLYNOMIAL $X^n - a$

We keep the notations of the preceding section. Next, we want to discuss the irreducibility of the polynomial  $X^n - a$  over  $\mathbb{Q}$ , with the aid of the matrix  $C_a(f)$ . It turns out that we need to work in the subfield  $\mathbb{Q}(\omega)$  of  $\mathbb{C}$ , generated by  $\mathbb{Q}$  and the primitive  $n$ -th root of unity  $\omega$ . Recall that  $[\mathbb{Q}(\omega) : \mathbb{Q}] = \varphi(n)$ , where  $\varphi$  is Euler's totient function.

THEOREM 2. Assume that  $X^n - a$  is irreducible over  $\mathbb{Q}$  if and only if  $X^n - a$  is irreducible over  $\mathbb{Q}(\omega)$ . The following statements are equivalent:

- (1)  $X^n - a$  is irreducible over  $\mathbb{Q}$ .
- (2) For all  $a_0, a_1, \dots, a_{n-1} \in \mathbb{Q}$ ,  $\det C_a(a_0, a_1, \dots, a_{n-1}) = 0 \implies a_i = 0$  for all  $i = 0, \dots, n-1$ .

*Proof.* "(1)  $\implies$  (2) Assume that  $X^n - a$  is irreducible over  $\mathbb{Q}$ . Then, by assumption,  $X^n - a$  is irreducible over  $\mathbb{Q}(\omega)$ . Let  $\alpha \in \mathbb{C}$  be a root of  $X^n - a$ . By using Lemma 1 and Lemma 2, we have that

$$\begin{aligned} 0 &= \det C_a(a_0, a_1, \dots, a_{n-1}) \\ &= \det C(a_0, a_1\alpha, \dots, a_{n-1}\alpha^{n-1}) \\ &= \prod_{j=0}^{n-1} (a_0 + a_1\alpha\omega^j + a_2\alpha^2\omega^{2j} + \dots + a_{n-1}\alpha^{n-1}\omega^{(n-1)j}). \end{aligned}$$

Therefore, there exists  $j \in \{0, 1, \dots, n-1\}$  such that

$$a_0 + a_1\alpha\omega^j + a_2\alpha^2\omega^{2j} + \dots + a_{n-1}\alpha^{n-1}\omega^{(n-1)j} = 0.$$

Since  $1, \alpha, \dots, \alpha^{n-1}$  are linearly independent over  $\mathbb{Q}(\omega)$ , we conclude that

$$a_0 = a_1 = \dots = a_{n-1} = 0.$$

“(2)  $\Rightarrow$  (1)” We argue by contradiction. Assume that  $X^n - a$  is reducible over  $\mathbb{Q}$ . Then  $1, \alpha, \dots, \alpha^{n-1}$  are linearly dependent over  $\mathbb{Q}$ , so there exist  $a_0, a_1, \dots, a_{n-1} \in \mathbb{Q}$ , not all zero, such that

$$\sum_{k=0}^{n-1} a_k \alpha^k = 0.$$

By Corollary 1, we find that  $\det C_a(a_0, a_1, \dots, a_{n-1}) = 0$ , but we also know that  $a_0, a_1, \dots, a_{n-1}$  are not all zero, contradiction.  $\square$

The assumption of the theorem is satisfied when  $n$  is a prime number.

**PROPOSITION 4.** *Let  $p$  be a prime number,  $a \in \mathbf{Q}^*$  and  $\alpha \in \mathbb{C}$  such that  $\alpha^p = a$ . Then*

$$X^p - a \text{ is irreducible over } \mathbb{Q} \iff X^p - a \text{ is irreducible over } \mathbb{Q}(\omega).$$

*Proof.* “ $\Rightarrow$ ” We argue by contradiction. Assume that  $X^p - a$  is reducible over  $\mathbb{Q}(\omega)$ . Hence, there exist non-constant polynomials  $g, h \in \mathbb{Q}(\omega)[X]$  such that  $\deg(f), \deg(g) < p$  and

$$X^p - a = g \cdot h.$$

Let  $1 \leq r \leq p-1$  be the degree of  $g$ . Therefore,

$$X^p - a = \prod_{k=0}^{p-1} (X - \omega^k \alpha) = gh = (X^r + \dots + \omega^l \alpha^r)(X^{p-r} + \dots + \omega^s \alpha^{p-r}),$$

for some  $l, s \in \mathbb{N}$ . Since  $g, h \in \mathbb{Q}(\omega)[X]$ , we have that  $\alpha^r, \alpha^{p-r} \in \mathbb{Q}(\omega)$ . Let  $d$  be the greatest common divisor of  $r$  and  $p-r$ . Thus, there are  $u, v \in \mathbb{Z}$  such that  $d = r \cdot u + (p-r) \cdot v$ . Moreover,

$$\alpha^d = \alpha^{r \cdot u + (p-r) \cdot v} = (\alpha^r)^u \cdot (\alpha^{p-r})^v.$$

Since  $\alpha^r, \alpha^{p-r} \in \mathbb{Q}(\omega)$ , we find that  $\alpha^d \in \mathbb{Q}(\omega)$ . Also,  $d \mid r$  and  $d \mid p-r$ , hence  $d \mid r + p - r = p$ . Due to the fact that  $r < p$ ,  $d \mid r$  and  $d \mid p$ , we must have  $d = 1$ . Therefore,  $\alpha^d = \alpha \in \mathbb{Q}(\omega)$  and, because  $\mathbb{Q}(\omega)$  is a field, we conclude that

$$\mathbb{Q}(\alpha) \leq \mathbb{Q}(\omega).$$

Thus,

$$[\mathbb{Q}(\omega) : \mathbb{Q}] = [\mathbb{Q}(\omega) : \mathbb{Q}(\alpha)] \cdot [\mathbb{Q}(\alpha) : \mathbb{Q}].$$

But we know that

$$[\mathbb{Q}(\omega) : \mathbb{Q}] = \varphi(p) = p-1 \text{ and } [\mathbb{Q}(\alpha) : \mathbb{Q}] = p,$$

hence  $p \mid p-1$ , contradiction.

The converse is obvious, since  $\mathbb{Q} \leq \mathbb{Q}(\omega)$ .  $\square$

EXAMPLE 1. Let  $n = 6$  and  $f = X^6 + 3$ . Then  $f$  is irreducible over  $\mathbb{Q}$ , but  $f$  is reducible over  $\mathbb{Q}(\omega) = \mathbb{Q}(i\sqrt{3})$ , because

$$f = X^6 + 3 = (X^3 + i\sqrt{3})(X^3 - i\sqrt{3}) = (X^3 + 2\omega - 1)(X^3 - 2\omega + 1).$$

We will discuss the irreducibility over  $\mathbb{Q}(\omega)$  of the polynomial  $X^n - a$  in a subsequent paper.

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